



INDIAN INSTITUTE OF BANKING & FINANCE

An ISO 9001: 2000 Organisation

'THE ARCADE', WORLD TRADE CENTRE, EAST WING, CUFFE PARADE, MUMBAI - 400 005.

APPLICATION FORM FOR DIPLOMA EXAMINATIONS

- (Tick only one Examination)
- 1. BANKING TECHNOLOGY
 - 2. TREASURY, INVESTMENT AND RISK MANAGEMENT
 - 3. INTERNATIONAL BANKING AND FINANCE

(Please read the important instructions given in the enclosed sheet before filling up this form)

EXAMINATION APPLIED FOR : JUNE: DEC: (Tick in appropriate box)

MEMBERSHIP NUMBER/
REGISTRATION NUMBER :

(In case of non-members, Reg. No. to be filled in by Institute)

NAME : (Mr./Ms.) _____

ADDRESS FOR : _____

COMMUNICATION : _____ PIN CODE : _____

(Please inform change of address, if any in future, to the Institute) (Compulsory)

DATE OF BIRTH :

D D M M Y Y Y Y

MOBILE / TEL.NO.(with STD Code) : _____

(Compulsory)

FAX NO. (with STD Code) : _____ E-MAIL : _____

(Compulsory)

QUALIFICATION : _____

CENTRE CODE : CENTRE NAME: _____

(Please refer instruction No. 6)

N.B.: Only offline Examination will be conducted. In case of a centre where there are less than 10 candidates, Institute will allot the nearest centre.

EXAMINATION / REGISTRATION FEE: Refer instruction No.3

PAYMENT DEATILS: For payment by DEMAND DRAFT/PAY ORDER:

DD NUMBER	DD DATE	AMOUNT	DRAWEE BANK & BRANCH

FOR payment by CASH AT AXIS BRANCHES (please enclose part of challan for IIBF containing receipt stamp of AXIS Bank)

SLIP NUMBER	DATE	AMOUNT	BRANCH ADDRESS

DECLARATION

I wish to enroll as a candidate for the above mentioned examination. I confirm having read Rules and Regulations and other instructions governing the above examination of the Institute. I hereby agree to abide by all the said Rules, Regulations and Instructions of the Institute. I declare that I have not been debarred / disqualified from appearing at the Institute's examination/s at the time of submitting this application. I further declare that in case of dispute, I hereby agree that any legal proceedings shall be instituted only in courts at New Delhi, Kolkata, Chennai and Mumbai as the case may be; in whose jurisdiction the application is submitted by me and not in any other court.

PLACE:

DATE:

SIGNATURE OF THE APPLICANT

— ✂ CUT HERE —

PARTICULARS FOR ISSUING MEMBERSHIP CARD / EXAMINATION IDENTITY CARD

(To be filled in by those who have not received Membership/Non-member Examination Identity Card)

EXAMINATION APPLIED FOR : _____ MEMBERSHIP NO./REGISTRATION NO.: _____
(in case of non-members, registration No. to be filled in by Institute)

NAME : _____

NAME OF THE EMPLOYER (If applicable) : _____

SPECIMEN SIGNATURE :

PHOTOGRAPH OF APPLICANT : _____
(Two copies)

(Colour photograph of
1 1/2" x 1 1/2" size
with name
written on back and
stapled here)

(Colour photograph of
1 1/2" x 1 1/2" size
with name
written on back and
stapled here)

Note (for members): To receive Member's Identity Card, Membership subscription should not be in arrears.



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IMPORTANT INSTRUCTIONS TO THE CANDIDATES

1. Application form once submitted cannot be withdrawn and fees will not be refunded / adjusted. Eligibility is governed by rules published in the Rules and Syllabus booklet. For members while applying examination, Membership Subscription should not be in arrears.
2. Application form should reach the Institute's Zonal Office on or before the last date of receipt of Examination Application mentioned in the time table of the examination. Application form received after this date will be treated for next examination. Application forms found defective / incomplete will be rejected.
3. **EXAMINATION FEES / REGISTRATION FEES:**

a)

	For Members	For Non-Members
First two attempts (Diploma in Banking Technology/Diploma in International Banking & Finance)	Rs. 4000/-	@ Rs. 5500/-
First two attempts (Diploma in Treasury Investment & Risk Management**)	Rs. 17000/-	@ Rs. 20500/-
Subsequent each attempt (Diploma in Banking Technology / Diploma in International Banking & Finance / Diploma in Treasury Investment & Risk Management)	Rs. 2000/-	Rs. 2000/-

@ Includes Rs. 500/- towards registration fee.

** Includes fee for e-learning

- b) Members may opt any of the following modes for submission of application and making payment of fees:
- 1) Payment by cash in any of the AXIS Branch, using the payment challan, mentioning the membership number and examination for which applied.
 - 2) Payment by Demand Draft / Payorder in favour of INDIAN INSTITUTE OF BANKING & FINANCE and made payable at Mumbai, Chennai, Kolkata or New Delhi (depending upon the Zonal Office to which the member belongs). Members are also requested to write the membership number & name on back side of the Demand Draft / Payorder.
 - 3) For online submission of application form and payment through our web-site www.iibf.org.in, please follow the instructions therein for the purpose.

4. STUDY MATERIAL:

Examination fees do not include cost of study material. Please visit www.iibf.org.in to know further details.

5. EXAMINATION CENTRES: (Refer Centre List)

- (i) Candidates are requested to submit their application forms along with payment to the respective Zonal Office to which they belong. The address of Zonal Offices are as follows:
 1. **Northern Zonal Office:**
Indian Institute of Banking & Finance, 109-113, Vikrant Towers, 1st Floor, 4, Rajendra Place, New Delhi-110 008.
Telephone: 011-25752191/92 Fax: 011-25752193 E-mail: iibnz@iibf.org.in
 2. **Eastern Zonal Office:**
Indian Institute of Banking & Finance, 408, "Anandlok", 'A' Block, 4th Floor, 227, Acharya J. C. Bose Road, Kolkata - 700 020. Tel.: 033-2280 9681/82/83 Fax: 033-2280 9680 E-mail: iibez@iibf.org.in
 3. **Western Zonal Office:**
Indian Institute of Banking & Finance, 191-F, Maker Towers, 19th Floor, Cuffe Parade, Mumbai - 400 005.
Tel.: 022-2218 3302/2218 5134 Fax: 022-22180845 E-mail: iibwz@iibf.org.in
 4. **Southern Zonal Office:**
Indian Institute of Banking & Finance, No. 94, Jawaharlal Nehru Road, (100 Feet Road) Opp. to Hotel Ambica Empire, Vadapalani, Chennai - 600026. Tel.: 044-24722990, 24727961 Fax: 044-24726966 E-mail: iibsz@iibf.org.in
- (ii) Candidates should appear for the examination only at the centre where they are working provided there is an examination centre at such place and if there is no examination centre, they should appear at the nearest examination centre.
- (iii) The venue for the examination will be advised while issuing the examination admit letter.
- (iv) Request for change in centre due to transfer or any other valid reason should reach the Institute 45 days before the commencement of the examination. Any request received thereafter will not be entertained.
- (v) No change of centre request will be accepted for personal reasons. All the requests in case of change of centre due to transfer, training and deputation etc. should be accompanied by employer's authentication.

The Institute reserves the right to close any of the existing centre/s on account of less number of candidates appearing from that centre or any other reason which it may think fit. In such case, candidates will be advised to appear at the available centre.

6. MODE OF EXAMINATION:

Examination will be conducted in offline mode only.

7. FACILITY FOR VERIFICATION OF MARKS

If any candidate requires their marks to be verified, they may request the respective Zonal Office. Such verification will cover whether all answers have been valued. The request for verification of marks must be received in the Institute's respective Zonal Office within one month from the date of the declaration of the examination results. The Candidate will have to pay a sum of Rs. 150/- per subject for verification of marks. The payment should be made by means of Demand Draft drawn in favour of "INDIAN INSTITUTE OF BANKING & FINANCE" and made payable at the place of the Institute's Zonal Office. The candidates should write their membership number, name and subject name/s on the reverse of the draft.

8. ADMIT CARD

- i) The Institute's Corporate Office will issue Examination Admit Letter containing details of venue and time of the examination as well as User id, password to be used while appearing at the examination. User id will be the Membership Number of the candidate.
- ii) Permanent Membership Identity Card is issued by the respective Zonal Office at the time of admission as a member or renewal of membership or on receipt of examination application form whichever is earlier.
- iii) The Examination Admit Letter along with the Membership Identity Card should be produced to the Examination Authority each time in the examination venue for identification.
- iv) In absence of the Examination Letter / Membership Identity Card, the candidate is liable to be denied permission in the examination venue.
- v) **Admit letter will be available on our website www.iibf.org.in one week before the date of examination. Candidates who do not receive the admit letter by post may download it from the website.**

9. DECLARATION OF RESULTS

Candidates for Diploma in Banking Technology Examination would be required to submit the Project Report after passing all theory papers. After evaluation of the Project Report submitted by candidates and its acceptance by the Institute, candidates will be declared successful and awarded to Diploma Qualification.

The final result of the examination along with the marks secured will be normally communicated to candidates appeared within two months after conclusion of the examination.

10. ISSUE OF CERTIFICATES

Candidates who complete the examination will receive a Certificate within six months after the Examination

11. CONDUCT AND DISCIPLINE IN THE EXAMINATION HALL

- (i) Candidates should be present at the examination venue at least 15 minutes before the commencement of the examination. No candidate shall be permitted to enter the examination hall after the expiry of 15 minutes from the commencement of the examination.
- (ii) Candidates should ensure that they sign the Attendance Sheet.
- (iii) Candidates should not possess and / or use books, notes, periodicals etc. in the examination hall at the time of the examination /or use mathematical tables, slide rules, stencils etc. during the examination. They should hand them over to the Hall Superintendent before the commencement of the examination. **Mobile phones are strictly prohibited in the examination hall.** A candidate found in possession of such unauthorised material during the examination is liable to be proceeded against for adoption of unfair means in the examination in addition to reporting the matter to his/her employers for disciplinary proceedings for the misconduct.
- (iv) Candidates will be permitted to use calculators while appearing for the Examination subject to the following:
Calculators should:
 - a) be of pocket size and fully portable
 - b) be silent while in operation
 - c) be battery operated
 - d) not be capable of being programmed by the insertion of tapes or otherwise
 - e) not have print out facilities
 - f) be of the type upto 6 functions and 12 digits
 - g) not be capable of retaining / storing data in memory when the same is switched offCalculators which do not comply with the above conditions and found in possession of candidate during the examination will be confiscated by Examination Supervisors and returned to the candidate at the end of the examination. In case a candidate is found using the calculator not complying with the above conditions in the course of the examination, it will amount to adoption of unfair practice at the examination by the candidate concerned and the Institute shall take such action against the candidate as may be deemed appropriate.
- (v) Communication of any sort between candidates or with outsiders is not permitted and complete silence should be maintained during the examination.
- (vi) No candidate shall impersonate others or allow others to impersonate himself/herself at the examination.
- (vii) No candidate shall misbehave/argue with the Examination Conducting Authorities at the Centre.

If any candidate violates any of the above rules, it will be considered to be an act of misconduct and he / she will be liable for punishment mentioned below.

12. PENALTIES FOR MISCONDUCT / UNFAIR PRACTICES

The Institute shall have the right to impose penalties on any candidate for committing an act of misconduct / unfair practice in respect of any matter affecting the Institute or in respect of any examination conducted by the Institute or disqualifying any candidate who, they have reason to believe has received or given unfair assistance at the examination, and;

- (i) to cancel the result of such candidate in all or any of the subjects of the examination at which he / she may have appeared;
- (ii) to exclude him / her from future examinations either permanently or for a specified number of examinations;
- (iii) to report his / her name to his / her employer, and;
- (iv) to take such other action against him / her as the Institute shall in their sole discretion deem fit and the decision of the Institute shall be final and binding on the candidate concerned.

The Institute shall also have right to cancel results of any subsequent examination at which the candidate may have appeared if such examination falls within the exclusion period in the penalty, as by reason of imposition of such a penalty of exclusion from the future examination, the candidate would not have been eligible to appear at such subsequent examinations.

In the event of any dispute between the Institute and the Candidate, only Competent Courts in Chennai, Kolkatta, New Delhi and Mumbai alone have the jurisdiction to entertain the dispute.

PASSING CRITERIA:

- i) Pass: minimum marks for pass in every subject-50 out of 100 marks.
- ii) Candidate securing at least 45 marks in each subject with an aggregate of 50% marks in all subjects of the examination in a single attempt will also be declared as having completed the Examination. The benefit will not be available to candidates availing the facility of credit transfer as they would not be passing the examination in single attempt.
- iii) First Class: 60% or more marks in aggregate and pass in all the subject in the first attempt.
- iv) First Class with Distinction: 75% of more marks in any individual subject and 60% or more marks in the aggregate and pass in all subjects in the first attempt.
- v) Candidates will be allowed to retain credits for the subject they have passed in one attempt till the expiry of the **time limit for passing the examination.**

For more details and instructions please refer Rules and Syllabus booklet or visit Institute's website www.iibf.org.in



INDIAN INSTITUTE OF BANKING & FINANCE

TIME TABLE MAY/JUNE-2010

Last Date for receipt of Examination Application

6th March, 2010

Examination Date

23/5/2010 Sunday
30/5/2010 Sunday
6/6/2010 Sunday

Diploma in Banking Technology

1.30 P.M. to 4.30 P.M.Information Technology, Data Communications and Electronic Banking
1.30 P.M. to 4.30 P.M.....Design, Development and Implementation of Information Systems
1.30 P.M. to 4.30 P.M.....Security in Electronic Banking

Diploma in Treasury, Investment and Risk Management

23/5/2010 Sunday 1.30 P.M. to 4.30 P.M.....Financial Markets - An overview
30/5/2010 Sunday 1.30 P.M. to 4.30 P.M.....Treasury Management
60/6/2010 Sunday 1.30 P.M. to 4.30 P.M.....Risk Management

Diploma in International Banking and Finance

23/5/2010 Sunday 1.30 P.M. to 4.30 P.M.....International Banking Operations
30/5/2010 Sunday 1.30 P.M. to 4.30 P.M..... International Banking - Legal and Regulatory Aspects
6/6/2010 Sunday 1.30 P.M. to 4.30 P.M..... International Corporate Finance

The Examination venue and time will be advised to individual candidates by a separate communication.

**Admit Letter will be available on our Website www.iibf.org.in one week before the date of examination.
Candidates who do not receive the Admit Letter by post may download it from the website.**

EXAMINATION CENTRES AND THEIR CODE NUMBERS

Centre Code	North Zone Name	Centre Code	Eastern Zone Name	Centre Code	Western Zone Name	Centre Code	Southern Zone Name
603	Chandigarh	048	Gauhati	619	Panaji	013	Hyderabad
610	New Delhi	085	Patna	098	Ahmedabad	191	Bangalore
182	Srinagar	087	Ranchi	257	Bhopal	247	Thiruvananthapuram
533	Lucknow	370	Bhubaneshwar	306	Mumbai	467	Chennai
		448	Gangtok	322	Pune	468	Madurai
		495	Agartala	428	Jaipur		
		568	Kolkata				