



# INDIAN INSTITUTE OF BANKING & FINANCE

An ISO 9001: 2000 Organisation

'THE ARCADE', WORLD TRADE CENTRE, EAST WING, CUFFE PARADE, MUMBAI - 400 005.

## APPLICATION FORM - DIPLOMA IN COMMODITY DERIVATIVES FOR BANKERS

(Please read the important instructions given in attached sheet before filling up this form)

EXAMINATION APPLIED FOR : MAY/JUNE:  NOV/DEC:  (Tick in appropriate box)

MEMBERSHIP NUMBER/  
REGISTRATION NUMBER :

(In case of non-members, Reg. No. to be filled in by Institute)

NAME (Capital Letters) : (Mr./Ms.) \_\_\_\_\_

ADDRESS FOR COMMUNICATION : \_\_\_\_\_  
(Please inform change of address, if any in future, to the Institute) PIN CODE : \_\_\_\_\_  
(Compulsory)

DATE OF BIRTH :        
D D M M Y Y Y Y

MOBILE / TEL.NO.(with STD Code) : \_\_\_\_\_  
(Compulsory)

FAX NO. (with STD Code) : \_\_\_\_\_ E-MAIL : \_\_\_\_\_  
(Compulsory)

QUALIFICATION : \_\_\_\_\_

CENTRE CODE :    CENTRE NAME: \_\_\_\_\_  
(Please refer instruction No. 6)

**N.B.:** In Case of a centre where there are less than 10 candidates, Institute will allot the nearest centre.

**EXAMINATION / REGISTRATION FEE:** Refer instruction No.3

**PAYMENT DEATILS:** For payment by **DEMAND DRAFT/PAY ORDER**

DD NUMBER	DD DATE	AMOUNT	DRAWEE BANK & BRANCH

FOR payment by **CASH AT AXIS BRANCHES** (please enclose part of challan for IIBF containing receipt stamp of AXIS Bank)

SLIP NUMBER	DATE	AMOUNT	BRANCH ADDRESS

### DECLARATION

Examination application form once submitted cannot be withdrawn and fees paid will not be refunded/adjusted. I am a member of Indian Institute of Banking & Finance and I have read the Rules and Regulations and other instructions governing the above examination and I agree to abide by the said Rules, Regulations and Instructions. I declare that I have not been debarred/disqualified from appearing at the Institute's examination/s at the time of submitting this application. I further declare that in case of dispute, I hereby agree that any legal proceedings shall be instituted only in Courts at Chennai, Kolkata, New Delhi & Mumbai as the case may be; in whose jurisdiction the application is submitted by me and not in any other court.

PLACE:

DATE:

SIGNATURE OF THE APPLICANT

— ✂ CUT HERE —

### PARTICULARS FOR ISSUING MEMBERSHIP CARD / EXAMINATION IDENTITY CARD

(To be filled in by those who have not received Membership/Non-member Examination Identity Card)

EXAMINATION APPLIED FOR : **DIPLOMA IN COMMODITY DERIVATIVES FOR BANKERS** MEMBERSHIP NO./REGISTRATION NO.: \_\_\_\_\_  
(In case of non-members, Reg. No. to be filled in by Institute)

NAME : \_\_\_\_\_

NAME OF THE EMPLOYER'S (If applicable) : \_\_\_\_\_

SPECIMEN SIGNATURE (to be signed in three boxes) :

PHOTOGRAPH OF APPLICANT (Two copies) :

(Colour photograph of 1 1/2" x 1 1/2" size with name written on back and stapled here)

(Colour photograph of 1 1/2" x 1 1/2" size with name written on back and stapled here)

**Note (for members):** To receive Member's Identity Card, Membership subscription should not be in arrears.



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## DIPLOMA IN COMMODITY DERIVATIVES FOR BANKERS

### IMPORTANT INSTRUCTIONS TO THE CANDIDATES

1. Eligibility is governed by rules published in the Rules and Syllabus booklet. For members while applying examination, Membership Subscription should not be in arrears.
2. Application form should reach the Institute's Zonal Office on or before the last date of receipt of examination form mentioned in the time table of the examination. Application form received after this date will be treated for next examination. Application forms found defective / incomplete will be rejected.

#### 3. EXAMINATION FEES / REGISTRATION FEES:

a)

	For Members	For Non Members
First 2 attempt	Rs. 4000/-	@ Rs. 6500/-
Subsequent each attempt	Rs. 2000/-	Rs. 2000/-

@ Includes Rs. 500/- towards registration fee.

b) Members may opt any of the following modes for submission of application and making payment of fees:

- 1) Payment by cash in any of the AXIS Branch, using the payment challan, mentioning the membership number and examination for which applied.
- 2) Payment by Demand Draft / Payorder in favour of INDIAN INSTITUTE OF BANKING & FINANCE and made payable at Mumbai, Chennai, Kolkata or New Delhi (depending upon the zonal office to which the member belongs). Members are also requested to write the membership number & name on back side of the Demand Draft / Payorder.
- 3) For online submission of application form and payment through our web-site [www.iibf.org.in](http://www.iibf.org.in), please follow the instructions therein for the purpose.

#### 4. STUDY MATERIAL:

Examination fees do not include cost of study material. Please visit [www.iibf.org.in](http://www.iibf.org.in) to know further details.

#### 5. EXAMINATION CENTRE: (Refer Center List)

(i) Candidates are requested to submit their application forms along with payment to the respective Zonal Office to which they belong. The address of Zonal Offices are as follows:

1. **Northern Zonal Office:**

**Indian Institute of Banking & Finance**, 109-113, Vikrant Towers, 1st Floor, 4, Rajendra Place, New Delhi-110 008.  
Telephone: 011-25752191/92 Fax: 011-25752193 E-mail: [iibnz@iibf.org.in](mailto:iibnz@iibf.org.in)

2. **Eastern Zonal Office:**

**Indian Institute of Banking & Finance**, 408, "Anandlok", 'A' Block, 4th Floor, 227, Acharya J. C. Bose Road, Kolkata - 700 020. Tel.: 033-2280 9681/82/83 Fax: 033-2280 9680 E-mail: [iibez@iibf.org.in](mailto:iibez@iibf.org.in)

3. **Western Zonal Office:**

**Indian Institute of Banking & Finance**, 191-F, Maker Towers, 19th Floor, Cuffe Parade, Mumbai - 400 005.  
Tel.: 022-2218 3302/2218 5134 Fax: 022-22180845 E-mail: [iibwz@iibf.org.in](mailto:iibwz@iibf.org.in)

4. **Southern Zonal Office:**

**Indian Institute of Banking & Finance**, No. 94, Jawaharlal Nehru Road, (100 Feet Road) Opp. to Hotel Ambica Empire, Vadapalani, Chennai - 600026. Tel.: 044-24722990,24727961 Fax:044-24726966 E-mail: [iibsz@iibf.org.in](mailto:iibsz@iibf.org.in)

(ii) Candidates should appear for the examination only at the centre where they are working provided there is an examination centre at such place and if there is no examination centre, they should appear at the nearest examination centre.

(iii) The venue for the examination will be advised while issuing the examination admit letter.

(iv) Request for change in centre due to transfer or any other valid reason should reach the Institute 45 days before the commencement of the examination. Any request received thereafter will not be entertained.

(v) No change of centre request will be accepted for personal reasons. All the requests in case of change of centre due to transfer, training and deputation etc. should be accompanied by employer's authentication.

**The Institute reserves the right to close any of the existing centre/s on account of less number of candidates appearing from that centre or any other reason which it may think fit. In such case, candidates will be advised to appear at the examination from the nearest available centre.**

#### 6. MODE OF EXAMINATION:

Examination will be conducted in offline and online mode only.

#### 7. FACILITY FOR VERIFICATION OF MARKS:

No verification of marks will be done for on-line examination as evaluation is computerized. If any candidate requires their marks to be verified, they may request the respective Zonal Office. Such verification will cover whether all answers have been valued. The request for verification of marks must be received in the Institute's respective zonal office within one month from the date of the declaration of the examination results. The Candidate will have to pay sum of Rs. 150/- per subject for verification of marks. The payment should be made by means of Demand Draft drawn in favour of "INDIAN INSTITUTE OF BANKING & FINANCE" and made payable at the place of the Institute's Zonal Office. The candidates should write their membership number, name and subject name/s on the reverse of the draft.

#### 8. ADMIT CARD:

- i) The Institute's corporate office will issue Examination Admit Letter containing details of venue and time of the examination as well as User id, password to be used while appearing at the examination. User id will be the membership Number of the candidate.
- ii) Permanent Membership Identity Card is issued by the respective Zonal Office at the time of admission as a member or renewal of membership or on receipt of examination application form whichever is earlier.
- iii) The Examination Admit Letter along with the Membership Identity Card should be produced to the Examination Authority each time in the examination venue for identification.

- iv) In absence of the Examination Letter / Membership Identity Card, the candidate is liable to be denied permission in the examination venue.
- v) **Admit letter will be available on our website [www.iibf.org.in](http://www.iibf.org.in) one week before the date of examination. Candidates who do not receive the admit letter by post may download it from the website.**

9. **DECLARATION OF RESULTS:**

The final result of the examination along with the marks secured will be normally communicated to candidates appeared within two months after conclusion of the examination.

10. **ISSUE OF CERTIFICATES:**

**Candidates who complete the examination will receive a Certificate within six months after the Examination.**

11. **CONDUCT AND DISCIPLINE IN THE EXAMINATION HALL:**

- (i) Candidates should be present at the examination venue at least 15 minutes before the commencement of the examination. No candidate shall be permitted to enter the examination hall after the expiry of 15 minutes from the commencement of the examination.
- (ii) Candidates should ensure that they sign the Attendance Sheet.
- (iii) Candidates should not possess and / or use books, notes, periodicals etc. in the examination hall at the time of the examination /or use mathematical tables, slide rules, stencils etc. during the examination. They should hand them over to the Hall Superintendent before the commencement of the examination. **Mobile phones are strictly prohibited in the examination hall.** A candidate found in possession of such unauthorised material during the examination is liable to be proceeded against for adoption of unfair means in the examination in addition to reporting the matter to his/her employers for disciplinary proceedings for the misconduct.
- (iv) Candidates will be permitted to use calculators while appearing for the Examination subject to the following:  
Calculators should:
  - a) be of pocket size and fully portable
  - b) be silent while in operation
  - c) be battery operated
  - d) not be capable of being programmed by the insertion of tapes or otherwise
  - e) not have print out facilities
  - f) be of the type upto 6 functions and 12 digits
  - g) not be capable of retaining / storing data in memory when the same is switched off
 Calculators which do not comply with the above conditions and found in possession of candidate during the examination will be confiscated by Examination Supervisors and returned to the candidate at the end of the examination. In case a candidate is found using the calculator not complying with the above conditions in the course of the examination, it will amount to adoption of unfair practice at the examination by the candidate concerned and the Institute shall take such action against the candidate as may be deemed appropriate.
- (v) Communication of any sort between candidates or with outsiders is not permitted and complete silence should be maintained during the examination.
- (vi) Copying answers from answer books of other candidates or permitting others to copy from one's answer book will attract the rules relating to unfair practices in the examination.
- (vii) No candidate shall impersonate others or allow others to impersonate himself/herself at the examination.
- (viii) No candidate shall misbehave/argue with the examination conducting authorities at the centre.

**If any candidate violates any of the above rules, it will be considered to be an act of misconduct and he / she will be liable for punishment mentioned below.**

12. **PENALTIES FOR MISCONDUCT / UNFAIR PRACTICES:**

The Institute shall have the right to impose penalties on any candidate for committing an act of misconduct / unfair practice in respect of any matter affecting the Institute or in respect of any examination conducted by the Institute or disqualifying any candidate who, they have reason to believe has received or given unfair assistance at the examination and

- (i) to cancel the result of such candidate in all or any of the subjects of the examination at which he / she may have appeared;
- (ii) to exclude him / her from future examinations either permanently or for a specified number of examinations;
- (iii) to report his / her name to his / her employer and
- (iv) to take such other action against him / her as the Institute shall in their sole discretion deem fit and the decision of the Institute shall be final and binding on the candidate concerned.

The Institute shall also have right to cancel results of any subsequent examination at which the candidate may have appeared if such examination falls within the exclusion period in the penalty, as by reason of imposition of such a penalty of exclusion from the future examination, the candidate would not have been eligible to appear at such subsequent examinations.

In the event of any dispute between the Institute and the member, only competent courts in Chennai, Kolkatta, New Delhi and Mumbai alone have the jurisdiction to entertain the dispute.

**PASSING CRITERIA:**

- i) Pass: minimum marks for pass in every subject-50 out of 100 marks.
- ii) Candidate securing at least 45 marks in each subject with an aggregate of 50% marks in all subjects of the examination in a single attempt will also be declared as having completed the Examination. The benefit will not be available to candidates availing the facility of credit transfer as they would not be passing the examination in single attempt.
- iii) First Class: 60% or more marks in aggregate and pass in all the subject in the first attempt.
- iv) First Class with Distinction: 75% of more marks in any individual subject and 60% or more marks in the aggregate and pass in all subjects in the first attempt.
- v) Candidates will be allowed to retain credits for the subject they have passed in one attempt till the expiry of the **time limit for passing the examination.**

**For more details and instructions please refer Rules and Syllabus booklet or visit Institute's website [www.iibf.org.in](http://www.iibf.org.in)**



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## TIME TABLE FOR THE DIPLOMA IN COMMODITY DERIVATIVES FOR BANKERS EXAMINATION MAY/JUNE-2010

Last Date for receipt of  
Examination Application

6th March, 2010

Subject	Time	Date
Commodity Derrivatives Theory	01.30 p.m. to 3.30 p.m.	23/5/2010 Sunday
Eco-Theory- ref to com.markets	01.30 p.m. to 3.30 p.m.	30/5/2010 Sunday
Com. Derv Trading, Futures and Options strategies	01.30 p.m. to 3.30 p.m.	6/6/2010 Sunday

The Examination venue and time will be advised to individual candidates by a separate communication.

**Admit Letter will be available on our Website [www.iibf.org.in](http://www.iibf.org.in) one week before the date of examination.**

**Candidates who do not receive the Admit Letter by post may download it from the website.**

### EXAMINATION CENTRES AND THEIR CODE NUMBERS

Centre Code	Center Name	Centre Code	Center Name	Centre Code	Center Name	Centre Code	Center Name
182	SRINAGAR	048	GUWAHATI	098	AHMEDABAD	013	HYDERABAD
533	LUCKNOW	085	PATNA	257	BHOPAL	191	BANGALORE
603	CHANDIGARH	087	RANCHI	306	MUMBAI	247	THIRUVANANTHAPURAM
610	NEW DELHI	370	BHUBANESHWAR	322	PUNE	467	CHENNAI
		448	GANGTOK	428	JAIPUR	468	MADURAI
		495	AGARTALA	619	PANAJI		
		568	KOLKATA				