



## Diploma in International Banking & Finance

### Rules & Syllabus 2012

#### OBJECTIVE

With the thrust on market orientation, globalization, financial and banking sector reforms and competition in the financial sector, banking / finance professionals face the challenge of continuously innovating and improving their products and services. Currently banks provide more or less similar products and services. In the face of competition, retaining market share has become all the more important. Banks can improve upon their business only if bank employees specialize in one or more products and services and carve out a niche for themselves. Further, the need for continuous improvement in the service provided calls for excellence in the performance and specialization. The Institute has, therefore, introduced various diplomas to help the employees of banks / financial institutions to acquire the required specialization and competence.

Indian banks are expanding their geographical horizons like never before. Indian Industry is booming and banks will have to offer international finance on a major scale. This diploma helps the candidate in acquiring a conceptual grasp over the entire gamut of international and wholesale banking operations including ALM, Risk and Profitability Management. It will help the candidates to understand legal and regulatory framework w.r.t. conflict of laws and jurisdiction, sovereign risk, etc.

#### ELIGIBILITY

- (i) Members of the Institute OR
- (ii) Person intending to develop a career in financial service industry, though they may not be members of the Institute.

#### SUBJECT OF EXAMINATION

- (1) International Banking Operations
- (2) International Banking - Legal & Regulatory Aspects ,
- (3) International Corporate Finance

#### EXAMINATION FEES / REGISTRATION FEES

	For Members	For Non-Members
First two attempts	₹4,000/-	@₹5,500/-
Subsequent each attempt	₹2,000/-	₹2,000/-

@Includes registration fee of ₹500/-

#### MEDIUM OF EXAMINATION

Examination will be conducted in English only.

#### PATTERN OF EXAMINATION

- (i) Question Paper will contain descriptive type questions to test :
  - Conceptual grasp

- Problem solving and decision making ability
- Analytical / logical development / application of theoretical foundations

(ii) Examination will be held in **offline mode only**.

### **DURATION OF EXAMINATION**

The duration of the examination will be of three hours.

### **PERIODICITY AND EXAMINATION CENTRES**

- The examination will be conducted normally twice a year in May / June and November / December on Sundays.
- Examination **will be conducted on three consecutive Sundays** (one paper on each Sunday).
- A list of examination centres will be provided along with the examination application form.

### **PASSING CRITERIA**

- Pass : Minimum marks for pass in every subject - 50 out of 100 marks.
- Candidate securing at least 45 marks in each subject with an aggregate of 50% marks in all subjects of examination in a single attempt will also be declared as having completed the Examination.**
- Candidates will be allowed to retain credits for the subject/s they have passed in one attempt till the expiry of the time limit for passing the examination as mentioned below:

### **TIME LIMIT FOR PASSING THE EXAMINATION**

- Candidates will be required to pass the examination within a time limit of 2 years (i.e. 4 consecutive attempts). Initially a candidate will have to pay examination fee for 1st block of one year i.e. for two attempts. In case a candidate is not able to pass examination within the 1st block of one year, candidate should necessarily submit the examination application form for subsequent attempt, without any gap on payment of requisite examination fee. If they do not submit the examination form immediately after exhausting the first block, the examination conducted will be counted as attempt for the purpose of time limit for passing.
- Candidates not able to pass examination within the stipulated time period of two years are required to re-enroll themselves afresh by submitting a fresh Examination Application Form. Such candidates will not be granted credit/s for subject/s passed, if any, earlier.
- Attempts will be counted from the date of application irrespective of whether a candidate appears at any examination or otherwise.

### **“CLASS OF PASS” CRITERIA**

- The Institute will consider the **FIRST PHYSICAL ATTEMPT** of the candidate at the examination as first attempt for awarding class. In other words, the candidate should not have attempted any of the subject/s pertaining to the concerned examination any time in the past and has to pass all the subjects as per the passing criteria and secure prescribed marks for awarding class. Candidate re-enrolling for the examination after exhausting all permissible attempts as per the time limit rule will not be considered for awarding class.

- 2) First Class : 60% or more marks in aggregate and pass in all the subjects in the FIRST PHYSICAL ATTEMPT.
- 3) First Class with Distinction : 70% or more marks in aggregate and 60 or more marks in each subject in the FIRST PHYSICAL ATTEMPT.

## PROCEDURE FOR APPLYING FOR EXAMINATION

Examination Application Forms will be available at the Institute's website [www.iibf.org.in](http://www.iibf.org.in) which could be downloaded and filled in. The application forms will be also available at the Institute's Zonal Offices, Corporate Office and also at such other centres / offices as may be notified from time to time. Examination Application Forms duly filled in (for the detailed instructions refer the Examination Application Form) along with requisite examination fee should be submitted to the respective Zonal Office at Mumbai, Chennai, New Delhi, Kolkata. The Institute accepts no responsibility for delay or inconvenience arising from the candidate's failure to reach the Examination Application Form in time or if the form is incomplete or failure to furnish all the essential information about himself / herself and the details of his / her examination.

## PROOF OF IDENTITY

Non-members applying for Institute's exams / courses are required to submit a copy of any one of the following documents along with Examination Application Form. Forms without the same shall be liable to be rejected.

- 1) Photo i/card issued by Employer or 2) PAN Card or 3) Driving License or 4) Election Voter's i/card or 5) Passport

## STUDY MATERIAL

The Institute has developed a courseware to cover the syllabus. Candidates are advised to make full use of the courseware and also the updates put on the IIBF website from time to time. However, as banking and finance fields are dynamic, rules and regulations witness rapid changes. Hence, candidates should keep themselves updated on latest developments by going through Master Circulars issued by RBI, visiting the websites of organizations like RBI, SEBI, BIS etc.

The Institute has published study books to facilitate study and they will be available at outlets / showrooms / distributors of M/s. Macmillan Publishers India Ltd. The price of each book and detail list of outlets is mentioned below.

Candidates may purchase directly from outlets / showrooms / distributors of the above.

Candidates who purchase books directly from outlets of publishers will be offered a discount of 20% on the cost price of the book. Candidates desirous of purchasing books by post will not be offered any discount. However the postage will be borne by publishers. Candidates would be required to send their indent to publishers' outlet along with a Demand Draft for the cost of book/s. The Demand Draft should be drawn in favour of M/s. Macmillan Publishers India Ltd. **(No cheques will be accepted).** **Candidates are requested not to send any request for the purchase of books to the Institute's Zonal Offices / Corporate Office.**

Name of the Book	Price
International Banking Operations, 2007	₹285/-
International Banking - Legal and Regulatory Aspects, 2007	₹245/-
International Corporate Finance, 2007	₹285/-

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**Mumbai**

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**Mumbai**

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**Bangalore**

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**Palayamkottai**

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Road, Opp to VOC Ground,  
Palayamkottai-627002  
Phone : (0462) 2578899

**Trichy**

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Nandhi Koil Street, Trichy-620002  
Phone : (0431) 2703743  
E-mail : intbok@yahoo.co.in,  
ntbok@gmail.com

## TUTORIAL / CONTACT PROGRAMMES

Tutorial / Contact programmes will be organized by the Institute / accredited Institutions. For further details candidates may get in touch with Zonal Office or logon to the Institute's website [www.iibf.org.in](http://www.iibf.org.in).

## SYLLABUS

The details of the prescribed syllabus which is indicative are furnished below. However, keeping in view the professional nature of examinations, all matters falling within the realm of the subject concerned will have to be studied by the candidate as questions can be asked on all relevant matters under the subject. Candidates appearing for the Examination should particularly prepare themselves for answering questions that may be asked on the latest developments taking place under the various subjects of the said examination although those topics may not have been specifically included in the syllabus. The Institute also reserves to itself the right to vary the syllabus / rules / fee structure from time to time. Any alterations made will be notified from time to time. Further, questions based on current developments in banking and finance may be asked. Candidates are advised to refer to financial news papers / periodicals more particularly "IIBF VISION" and "BANK QUEST" published by the Institute.

## SYLLABUS

### Paper - I : INTERNATIONAL BANKING OPERATIONS

- i) Global trends and developments in International Banking
- ii) Wholesale banking, retail banking, private banking, inter-bank business
- iii) International financial centres offshore banking units, SEZs
- iv) Asset Liability Management
- v) Profitability of International Banking Operations
- vi) Investment Banking
- vii) Correspondent Banking
- viii) International Financial Institutions; IMF, IBRD, BIS, IFC, ADB, WTO
- ix) Treasury and Risk Mitigation
- x) FEMA and Regulatory Framework in India
- xi) Letter of Credit mechanism and UCPDC / URC / URR Buyer's / Sellers credit, Bilateral trade, counter trade, high seas sales.

### Paper - II : INTERNATIONAL BANKING, LEGAL AND REGULATORY ASPECTS

- i) Regulatory Framework, BASLE-II
- ii) International law, choice of law, conflict of laws, jurisdictional issues
- iii) Exchange management and controls, International loan agreements, covenants and clauses

- iv) Country risk and bank risk management
- v) International debt management
- vi) Role of IMF and World Bank in International debt crisis management
- vii) Anti-money laundering laws
- viii) Maritime frauds, modus operandi and prevention initiatives
- ix) International competitiveness - implications and effectiveness
- x) International accounting standards
- xi) Arbitration and mediation in International banking business

### **Paper - III : INTERNATIONAL CORPORATE FINANCE**

- i) Fundamental principles of lending MNC, documentation and monitoring of Corporate Finance
- ii) International credit rating agencies and global capital markets
- iii) Raising resources and its deployment, ECBs / FCNRs
- iv) International Bond Market - operations - procedure and significance
- v) Regulatory prescriptions, revising undertaking facility, Note issuance facility system and structure
- vi) Syndicated Loans
- vii) Project and Infrastructure Finance
- viii) Corporate Treasury Management
- ix) Derivatives; Corporate application
- x) Role of FIIs, FDIs and EXIM Bank
- xi) Commodity Exchanges

## COURSES OF IIBF

### A. Flagship Courses

- ☞ JAIB
- ☞ CAIB
- ☞ Diploma in Banking & Finance

### B. Specialised Post Graduate Diploma Courses (for Member\* and Non-Members\*\*)

- ☞ Diploma in Treasury, Investment and Risk Management
- ☞ Diploma in Banking Technology
- ☞ Diploma in International Banking and Finance
- ☞ Diploma in Urban Co-operative Bank
- ☞ Diploma in Commodity Derivatives for Bankers
- ☞ Diploma for Micro Finance Professionals in collaboration with Sa-Dhan
- ☞ Advanced Wealth Management Course
- ☞ Diploma in Home Loan Advising

### C. Specialised Certificate Courses (for Member\* and Non-Members\*\*)

- ☞ Certificate in Trade Finance
- ☞ Certified Information System Banker
- ☞ Certificate in Anti-Money Laundering / Know Your Customer
- ☞ Certificate in Quantitative Methods for Bankers
- ☞ Certificate in Credit Cards for Bankers
- ☞ Certificate Examination in Banking Oriented Paper in Hindi
- ☞ Certificate Examination in SME Finance for Bankers
- ☞ Certificate Examination in Customer Service & Banking Codes and Standards
- ☞ Certificate Examination in Basics of Banking / Credit Cards for employees of IT Companies
- ☞ Certificate Course for Business Correspondents / Business Facilitators
- ☞ Certificate Examination for Debt Recovery Agents

### D. Management Courses

- ☞ Advanced Management Program in collaboration with SIES - Mumbai, Welingkar-Mumbai, IMI-Delhi, IPE-Hyderabad.
- ☞ Project Finance Management Blended Course with IFMR, Chennai
- ☞ CAIB linked MBA with IGNOU.

### Educational support :

- ☞ Publishing specific courseware for each paper / examination and Workbooks etc.
- ☞ Tutorials through Accredited Institutions, Virtual Classes, e-learning through Portal, Contact Classes or Campus Training - Model Questions and subject updates on the net

### Other activities :

- ☞ Research, Seminars, Conferences, Lectures, etc.
- ☞ Publications of books, Daily e-newsletter, monthly newsletter and quarterly journal
- ☞ Training & Consultancy and Financial Education

\* Members are employees of Banks and Financial Institutions who have enrolled as members of IIBF.

\*\* Non-Members are eligible candidates for each course / examination without IIBF Membership.

The Syllabi, Rules / Regulations, Examination Forms and other information pertaining to the examinations are available with Institute's Offices at Mumbai, Chennai, New Delhi, Kolkata and also at such other centers / offices as may be notified from time to time. The same is also available at our website [www.iibf.org.in](http://www.iibf.org.in).

## MEMBERS OF THE GOVERNING COUNCIL (As on 01/01/2012)

### PRESIDENT

**Shri M. D. Mallya**, Chairman & Managing Director, Bank of Baroda

### VICE PRESIDENTS

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**Shri Rana Kapoor**, Managing Director & Chief Executive Officer, YES Bank

### Representing Reserve Bank of India

**Shri S. Karuppasamy**, Executive Director

### Representing State Bank of India and its Associate Banks

**Shri Pratip Chaudhuri**, Chairman, State Bank of India

**Shri Dilip Mavinkurve**, Managing Director, State Bank of Mysore

**Smt. Arundhati Bhattacharya**, Deputy Managing Director & CDO, State Bank of India

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**Shri T. M. Bhasin**, Chairman & Managing Director, Indian Bank

### Representing Financial Institutions

**Shri T. C. A. Ranganathan**, Chairman & Managing Director, Export-Import Bank of India

### Representing Private Sector Banks

**Shri Ananthakrishna**, Chairman, Karnataka Bank Ltd.

### Representing Co-operative Banks

**Shri S. K. Banerji**, Managing Director, The Saraswat Co-op. Bank Ltd.

### Representing other Bodies / Experts

**Shri M. Balachandran**, Director, Institute of Banking Personnel Selection

**Shri Y. H. Malegam**, former Managing Partner, S. B. Billimoria & Co., Chartered Accountants

**Prof. Y. K. Bhushan**, Senior Advisor, ICFAI Business School

**Shri Allen C. A. Pereira**, Director, National Institute of Bank Management (NIBM)

**Dr. K. Ramakrishnan**, Chief Executive, Indian Banks' Association (IBA)

### From IIBF

**Dr. R. Bhaskaran**, Chief Executive Officer

## ADDRESSES FOR CONTACT

### Corporate Office :

Indian Institute of Banking & Finance  
Kohinoor City, Commercial-II, Tower-1, 2nd Floor,  
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### Northern Zonal Office :

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109-113, Vikrant Towers, 1st Floor,  
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### Southern Zonal Office :

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### Western Zonal Office :

Indian Institute of Banking & Finance  
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### Eastern Zonal Office :

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