



# INDIAN INSTITUTE OF BANKING & FINANCE

(ISO 21001:2018 Certified)

## 3-Days Programme on “Compliance Matters & Disciplinary Inquiry Proceedings”

Faculty & Program Coordinator : Mr. Arun Mishra

Date: 09th to 11th June 2025

Mode: Offline-Physical

Venue for Physical Programme:

Leadership Centre, Indian Institute  
of Banking & Finance, Kohinoor  
City, Commercial II, Tower 1, 3rd  
floor, Off LBS Marg, Kirool Road,  
Kurla (W), Mumbai – 400070



## Program Overview:

The Indian Institute of Banking & Finance invites professionals from Small Finance Banks and Co-operative Banks to a comprehensive 3-day workshop focusing on the critical aspects of compliance and disciplinary inquiry. This programme is designed to equip participants with essential skills and knowledge to effectively handle vigilance-related issues and manage disciplinary inquiries within their institutions.

## Target Audience:

- Senior and Middle Management of Banks and Fis including Small Finance Banks.
- Managers and Officers in Cooperative Banks
- Compliance and Risk Management Professionals

## Programme Objectives:

- ✓ To understand the concept and importance of compliance in banking operations
- ✓ To learn the procedures and regulations governing disciplinary inquiries
- ✓ To enhance skills in investigation and report writing
- ✓ To discuss case studies and best practices associated with vigilance matters

# Program Highlights:

## Day 1

- **Session 1: Introduction to compliance and Its Importance in Banking**
- **Session 2: Regulatory Framework and Guidelines on Compliance**
- **Interactive Workshop: Identifying Risks and Compliance/ Vigilance Indicators**

## Day 2

- **Session 1: Disciplinary Inquiry Process: An Overview**
- **Session 2: Roles and Responsibilities of Inquiry Officers**
- **Practical Exercise: Conducting a Mock Inquiry**

## Day 3

- **Session 1: Best Practices in Handling Vigilance Issues**
- **Session 2: Case Studies and Real-Life Applications**
- **Feedback and Evaluation Session**

## Why Attend?

- **Gain insights from industry experts**
- **Network with peers and enhance collaborative opportunities**
- **Improve compliance and risk management skills**
- **An engaging and informative programme that empowers you to navigate the complexities of vigilance and disciplinary inquiries in the banking sector.**

## Last Date for Registration: 23rd May 2025

### Faculty:

Sessions will be conducted by industry experts, senior bankers, and Vigilance matter specialists with hands-on experience in disciplinary inquiry & proceedings.

### Fees:

This is a Non-residential physical classroom program which is very reasonably priced at Rs. 12,000/- per participant plus GST (@ 18% i.e. Rs. 2,160/-) aggregating to Rs. 14,160/-.

Programme fees may be remitted to the credit of the Institute's account with the State Bank of India, details of which are given below:

- Beneficiary Name: Indian Institute of Banking and Finance
- Name of the Bank branch: State Bank of India, Vidya Vihar (West), Mumbai.
- SB Account No: 36919200263 IFSC code: SBIN0011710
- (PAN No: AAATT3309D and GSTIN NO. 27AAATT3309D1ZS)
- (In case of TDS deduction, please send us TDS certificate)

The Institute, on specific request, will arrange for stay in Hotel Kohinoor Elite (which is a 3-Star hotel located within 5 minutes walking distance from the Institute) at a special all-inclusive price of Rs.3500/- per day plus taxes per participant on Twin Sharing Basis. This rate is only for IIBF trainees and includes complimentary breakfast and dinner.

Mode of Payment: Online Transfer/NEFT/Cheque.

### Why Choose IIBF?

Over 95 years of excellence in banking & finance education

Practical and case-study-based learning approach

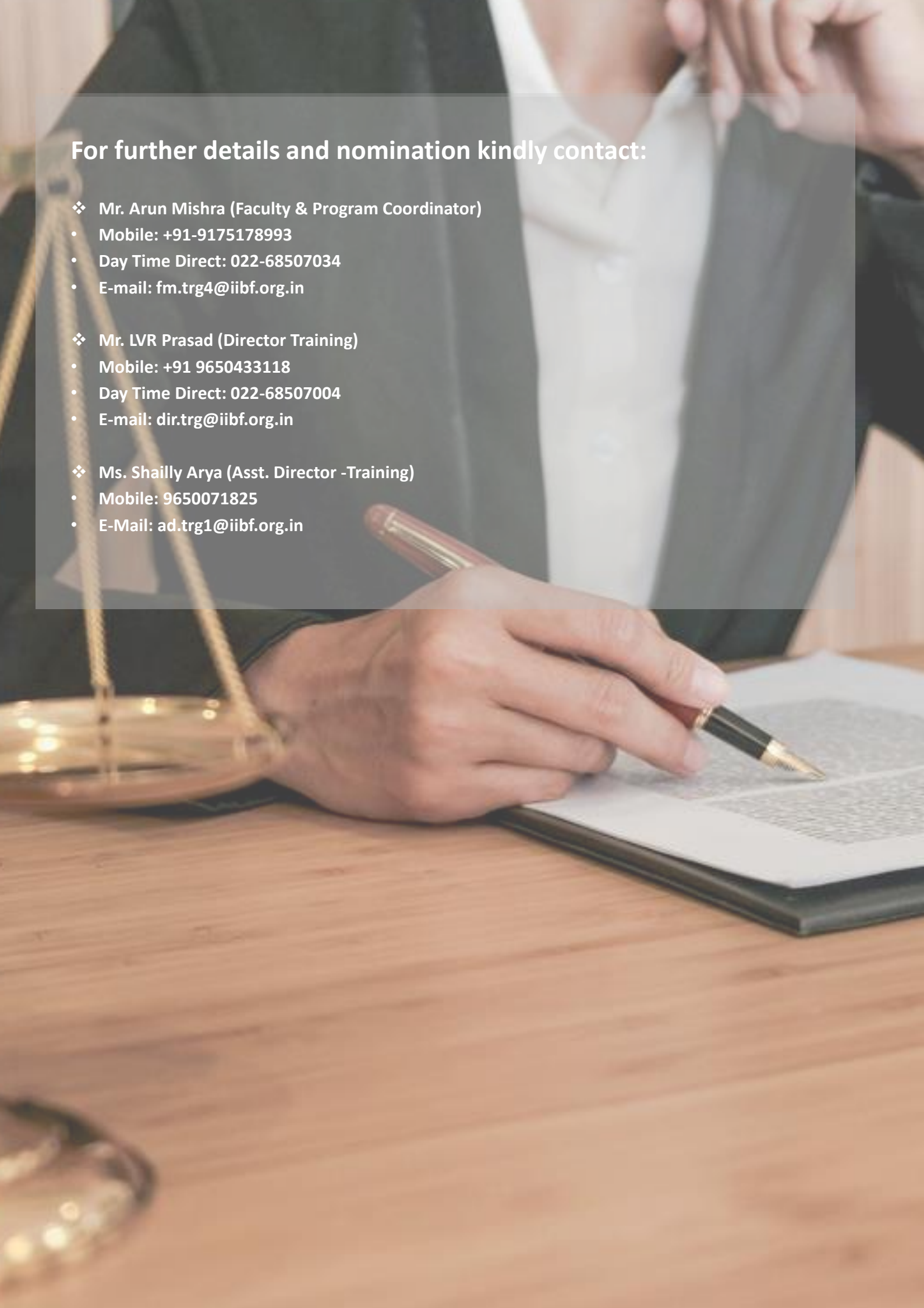
Expert faculty with real-world insights

Join us for an immersive learning experience and take your expertise in Foreign Exchange Business to the next level!

### How to Register?

Interested participants can register by visiting our website: [www.iibf.org.in](http://www.iibf.org.in) or in attached Registration form.





**For further details and nomination kindly contact:**

❖ **Mr. Arun Mishra (Faculty & Program Coordinator)**

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- Day Time Direct: 022-68507034
- E-mail: [fm.trg4@iibf.org.in](mailto:fm.trg4@iibf.org.in)

❖ **Mr. LVR Prasad (Director Training)**

- Mobile: +91 9650433118
- Day Time Direct: 022-68507004
- E-mail: [dir.trg@iibf.org.in](mailto:dir.trg@iibf.org.in)

❖ **Ms. Shailly Arya (Asst. Director -Training)**

- Mobile: 9650071825
- E-Mail: [ad.trg1@iibf.org.in](mailto:ad.trg1@iibf.org.in)

## NOMINATION FORM

Programme Title: **“3-Days Programme on “Compliance Matters & Disciplinary Inquiry Proceedings”**

**Date: 09th to 11th June 2025**, Timing: 10.00 a.m. to 5.15 p.m. (The Prog. starts at 9.30 a.m. on first day.)

PARTICIPANTS NOMINATED:

Sl. No	Name (Mr./Ms.)	Designation	Branch/Office	Mobile No. and Land Line No.	E-mail
1					
2					
3					

Bank/Organization: \_\_\_\_\_

Address: \_\_\_\_\_

Pin Code: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_ E-mail: \_\_\_\_\_

**Fee:**

**Non-Residential Physical Programme:** Rs. 12,000/- plus GST @18% i.e. Rs. 2,160/- aggregating to Rs. 14,160/- per participant

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Please scan and send your nominations, at the earliest, to:

<b>Mr. Arun Misra</b> <b>Faculty &amp; Program Co-ordinator</b> <b>Mobile: +91-9175178993</b> <b>Phone: 022-68507034</b> <b>Email: <a href="mailto:fm.trg4@iibf.org.in">fm.trg4@iibf.org.in</a></b>	<b>Mr. L. V. R. Prasad</b> <b>Director (Training)</b> <b>Phone: +91-22-6850 7004</b> <b>Mobile- 9650433118</b> <b>Email: <a href="mailto:dir.trg@iibf.org.in">dir.trg@iibf.org.in</a></b>
<b>Ms. Shailly Arya,</b> <b>Asstt. Director Training,</b> <b>Co-Coordinator, Mobile:9650071825, E-Mail <a href="mailto:ad.trg1@iibf.org.in">ad.trg1@iibf.org.in</a></b>	