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professional excellence

# INDIAN INSTITUTE OF BANKING & FINANCE

*(ISO 21001:2018 Certified)*

**(For All Financial Institutions)**

**16th & 17th June  
2025**

## **Capsule Programme on Equity Dealings with Risk Management & Settlement.**

Empower Your  
Equity Trading  
Skills with  
Expert  
Knowledge  
and Practical  
Insights

Faculty & Program Coordinator:  
Mr. Arun Mishra

Address: Leadership Centre, Indian Institute of Banking &  
Finance, Kohinoor City, Commercial-II, Tower-I, 3rd Floor,  
Kiroli Road, Off L.B.S.Marg, Kurla West, Mumbai 400 070.





## Background

During its 96 years of service, IIBF has emerged as a premier institute in banking and financial education. Considering the emerging requirements of bankers in the ever-changing dynamic environment, IIBF has started providing training programmes for bankers in all areas of banking. IIBF has world-class training facilities at its Leadership Centre. The present Capsule Programme on Equity Dealings with mastering on Risk

Management & Settlement, has been designed uniquely and especially for considering the training needs of all treasury officials handling the Equity Portfolio at Front office, Back office & Mid Office-Risk management related to capital market operations.

## Purpose

The program envisages confidence building among the participants by improving their knowledge and skills in handling Equity portfolios at their treasury operations and risk management enhancing their awareness levels in this important area in the current volatile market conditions. The program takes the participants on a logical journey through the understanding of market environment, dynamics of the market, relevant regulatory guidelines, handling different capital market instruments and their processing, control and compliance requirements. This dynamic programme is unique in its nature, as a trainee-oriented program, with more emphasis on interactions, situation analyses, case studies, sharing of experience and exercises, apart from the usual training methods. During the course of the Program, the participants will be sensitized on the entire gamut of equity dealing functions and market risk management perspectives, enabling them to return to their workplaces with enhanced confidence to handle the portfolios.



## Objectives

- ✓ To improve knowledge and skill in Equity dealing functions and market risk management perspectives.
- ✓ To have comprehensive understanding covering the entire gamut of equity market environment, market dynamics, relevant regulatory guidelines, processing transactions, control and compliance requirements.
- ✓ To develop competent functionaries in equity dealings in treasury functions and market risk management roles.

## About the Program

In today's dynamic financial markets, understanding the intricacies of equity dealings is crucial for success. Our comprehensive program on Risk Management and Settlement is designed to equip you with the essential skills to navigate and excel in equity trading. Whether you're a seasoned trader or new to the field, this program will enhance your ability to manage risks effectively and ensure smooth settlement processes.

## Program Highlights:

### Risk Management Fundamentals

- **Understanding Market Risks:** Learn to identify and evaluate various market risks.
- **Risk Assessment Tools:** Gain insights into advanced tools and techniques for risk assessment.
- **Mitigation Strategies:** Develop strategies to mitigate potential risks and protect your investments.

### Settlement Processes

- **Settlement Mechanics:** Master the procedures and protocols for equity settlements.
- **Clearing and Settlement Systems:** Explore the systems used in clearing and settlement of equity transactions.
- **Regulatory Requirements:** Understand the regulatory framework governing settlements.

### Practical Workshops

- **Real-World Scenarios:** Engage in hands-on workshops with real-world scenarios to apply theoretical knowledge.
- **Expert Guidance:** Receive personalized advice and feedback from industry experts.

## Who Should Attend?

**Equity Traders:** Enhance your trading strategies with advanced risk management and settlement skills.

**Investment Professionals:** Stay ahead of the curve with updated knowledge and practical insights.

**Financial Analysts:** Gain a deeper understanding of equity dealings to improve analysis and recommendations.

**Regulatory Professionals:** Stay informed about settlement procedures and compliance requirements.

## Program Details:

Date: 16th & 17th June 2025, Time: 09.30 AM to 05.30 PM

## Venue of the Programme:

Leadership Centre, Indian Institute of Banking & Finance, Kohinoor City, Commercial II, Tower 1, 3rd floor, Off LBS Marg, Kiroli Road, Kurla (W), Mumbai – 400070

## Fees:

This is a Non-residential physical classroom program which is very reasonably priced at Rs. 8,000/- per participant plus GST (@ 18% i.e. Rs. 1,440/-) aggregating to Rs. 9,440/-.

Programme fees may be remitted to the credit of the Institute's account with the State Bank of India, details of which are given below:

- Beneficiary Name: Indian Institute of Banking and Finance
- Name of the Bank branch: State Bank of India, Vidya Vihar (West), Mumbai.
- SB Account No: 36919200263 IFSC code: SBIN0011710
- (PAN No: AAATT3309D and GSTIN NO. 27AAATT3309D1ZS)
- (In case of TDS deduction, please send us TDS certificate)

The Institute, on specific request, will arrange for stay in Hotel Kohinoor Elite (which is a 3-Star hotel located within 5 minutes walking distance from the Institute) at a special all-inclusive price of Rs.3500/- per day plus taxes per participant on Twin Sharing Basis. This rate is only for IIBF trainees and includes complimentary breakfast and dinner.

## For further details and nomination kindly contact:

❖ **Mr. Arun Mishra (Faculty & Program Coordinator)**

- **Mobile: +91-9175178993**
- **Day Time Direct: 022-68507034**
- **E-mail: fm.trg4@iibf.org.in**

❖ **Mr. LVR Prasad (Director Training)**

- **Mobile: +91 9650433118**
- **Day Time Direct: 022-68507004**
- **E-mail: dir.trg@iibf.org.in**

❖ **Ms. Shailly Arya (Asst. Director -Training)**

- **Mobile: 9650071825**
- **E-Mail: ad.trg1@iibf.org.in**

## NOMINATION FORM

Programme Title: **“Capsule Programme on Equity Dealings with mastering on Risk Management & Settlement”**

**Date: 16th & 17th June 2025** Timing: 9.30 a.m. to 5.30 p.m. (The Prog. starts at 9.15 a.m. on first day.)

PARTICIPANTS NOMINATED:

Sl. No	Name (Mr./Ms.)	Designation	Branch/Office	Mobile No. and Land Line No.	E-mail
1					
2					
3					

Bank/Organization: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_ Pin Code: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_ E-mail: \_\_\_\_\_

### Fee:

**Non Residential Physical Programme:** Rs. 8,000/- plus GST @18% i.e. Rs. 1,440/- aggregating to Rs. 9,440/- per participant

(In case of TDS deduction, please send us TDS certificate)

Programme fees may be remitted thru NEFT to the credit of Institute's account with State Bank of India, details of which are given below:

- ✓ Beneficiary Name: Indian Institute of Banking and Finance
- ✓ Name of the Bank branch: State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ SB Account No: 36919200263 IFSC code: SBIN0011710
- ✓ (PAN No: AAATT3309D and GSTIN NO. 27AAATT3309D1ZS)

Please scan and send your nominations, at the earliest, to:

<p><b>Mr. Arun Misra</b> <b>Faculty &amp; Program Co-ordinator</b> <b>Mobile: +91-9175178993</b> <b>Phone: 022-68507034</b> <b>Email: <a href="mailto:Fm.trg4@iibf.org.in">Fm.trg4@iibf.org.in</a></b> <b>Ms. Shailly Arya, Asstt. Director Training,</b> <b>Co-Coordinator, Mobile:9650071825, E-Mail <a href="mailto:ad.trg1@iibf.org.in">ad.trg1@iibf.org.in</a></b></p>	<p><b>Mr. L. V. R. Prasad</b> <b>Director (Training)</b> <b>Phone: +91-22-6850 7004</b> <b>Mobile- 9650433118</b> <b>Email: <a href="mailto:dir.trg@iibf.org.in">dir.trg@iibf.org.in</a></b></p>
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