



INDIAN INSTITUTE OF BANKING & FINANCE

Professional Development Centre - Eastern Zone, Kolkata

Training Programme (Virtual Mode) on

“VARIOUS TYPES OF AUDITS AND STRATEGY FOR COMPLIANCE”

08th- 09th June 2026 (02 Days: Monday -Tuesday)



For Nomination, please contact:

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| Mr. Tusharendra Barpanda Zonal Head, PDC-EZ, IIBF Email: head-pdcez@iibf.org.in | Ms. Samridhhi Guha Jr. Executive, PDC-EZ, IIBF Email : je.pdcez2@iibf.org.in | Ms Sneha Datta Jr. Executive, PDC-EZ, IIBF Email: je.pdcez3@iibf.org.in |
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IIBF has emerged as a premier institute on banking and finance education. It is the largest institute of its kind in the world and is working with a Mission to “develop professionally qualified & competent bankers and finance professionals primarily through a process of education, training, examination, consultancy/ counselling and continuing professional development programmes”. Indian Institute of Banking & Finance has been awarded the ISO 21001:2018 Standard. This standard is for Educational Institutes who have achieved the required level of excellence in their academic offerings. IIBF is among the few institutes to have been conferred upon this standard.

BACKGROUND OF THE PROGRAMME: Financial services firms must conduct regular audits to assure customers and industry regulators that they are complying with the law and best industry practices. These routine, internal reviews of the records, operations, and internal controls check that all systems are performing properly, while increasing transparency, efficiency, and customer security. These audits can be performed by hiring an outside accounting firm, or internally by an in-house audit team. Internal Auditors play a vital role in the sound management of the Banks supporting the compliance, systems and procedures, apart from ensuring the safety and security. The Internal Audit function provides vital assurance to all Stake Holders including the Bank's Board of Directors as to the quality of the Bank's control system. Audit function helps to reduce the risk of loss and reputational damage to the Bank. The proposed training programme for the Audit Officers in Commercial Banks/FIs envisages competence building among the participants by improving their skills and knowledge level.

The programme takes the participants to different aspects of their functioning including the risk-based audit, risk rating documents verifications and revenue leakage. The programme will be facilitated by senior bankers and experienced faculties through interactive sessions, sharing experiences and discussions on live cases. This programme has been designed considering the Audit Officers need to update themselves through discussions and interactions with the learned Faculties and peers.

OBJECTIVES

- To have comprehensive understanding of the audit system, procedures and practices to protect the interest of the bank.
- Understanding of Regulatory Guidelines, Compliance of laid down systems & procedures.
- To have comprehensive understanding of the audit as a A tool of Risk Management, Identifying warning signals in operational area

CONTENT OVERVIEW

- ❖ Relevance of Audit – Audit History Traditional vs. Risk based audit - Audit policy
- ❖ Type of audit and salient feature:
- ❖ Timelines for removal of irregularities pointed out in Audit Reports
- ❖ Audit Reports & Investigation involving frauds
- ❖ Role of Regulators and Stance of Regulators over time: supervision / mentoring / control
- ❖ Overview of KYC / AML & CFT –
- ❖ Compliance in Digital Banking environment
- ❖ Compliance / Due diligence in Credit sanctions and disbursal; Execution of Documents and Charge creation

TARGET GROUP: Officers/ Managers/Senior managers/Chief Managers working in the Internal Audit Department at Central, Circle/Zonal/Regional level audit offices.

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| DATE & TIME: 08th-09th June 2026 (10.00AM to 5.30PM) | METHODOLOGY: Virtual (Online) interactive lectures through IIBF LMS. Case Studies, presentations and sharing of experiences. Programme can be attended from anywhere by using devices with Internet like PC, Laptop, Tablet or Mobile. Internet connection with good speed is required to stream live Virtual sessions. | FEE: Rs.5000/- per participant plus GST@18% aggregating to Rs.5900/- |
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| REGISTRATION START DATE: 25.05.26 REGISTRATION END DATE:05.06.26 | TRAINING START DATE: 08.06.26 TRAINING END DATE: 09.06.26 |
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CORRESPONDENCE ADDRESS: Indian Institute of Banking & Finance, PDC-EZ, Avani Heights, 2nd Floor, 59A, Jawaharlal Nehru Road, Near Ravindra Sadan Metro Station, Kolkata – 700020.



INDIAN INSTITUTE OF BANKING & FINANCE
Professional Development Centre – Eastern Zone, Kolkata
NOMINATION FORM

Programme Title: VARIOUS TYPES OF AUDITS AND STRATEGY FOR COMPLIANCE

Mode of Programme: Virtual Mode Date: 08th-09th June 2026

Details of Nomination (to be filled out by the Banks/FIs/Other Organizations):

| Sl. No. | Name of Participant | Designation | Branch/ Office | Contact No. | E-mail Id. |
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| 2 | | | | | |
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| 4 | | | | | |
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Fees Paid Rs. _____ UTR/Transaction No. _____ Date of Payment: _____
Name of Sponsoring Bank / FI: _____ **GSTN of Bank/FI:** _____
Address of the Bank/FI: _____
Phone/Mob. No. _____ E-mail id.: _____

FEE: Rs.5000/- per participant plus GST@18% aggregating to Rs.5900/- (In case of TDS deduction, please send us the TDS certificate. Kindly provide your GST Number in the nomination letter to facilitate the raising of Invoice)

Programme fees may be remitted to the credit of Institute's Bank Account as below:

- ✓ **Name of Account Holder:** Indian Institute of Banking & Finance
- ✓ **Name of the Bank Branch:** State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ **Savings Account No.** 37067835940 **IFSC:** SBIN0011710
- ✓ **PAN No.** AAATT3309D; **GST No.** 19AAATT3309D2ZO, **State** - West Bengal

CONTACT DETAILS:

Mr Tusharendra Barpanda
Head – PDC-EZ, IIBF, Kolkata
Mob. No.9717005551
Email Id. head-pdcez@iibf.org.in

Ms Sneha Datta
Jr. Executive, PDC-EZ, IIBF
Email : je.pdcez3@iibf.org.in
Mob. No. 9903848920

Ms. Samriddhi Guha
Jr. Executive, PDC-EZ, IIBF
Email:je.pdcez2@iibf.org.in
Mob. No. 6290336252

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Professional Development Centre – Eastern Zone, Kolkata

NOMINATION FORM FOR SELF-SPONSORED CANDIDATES

Programme Title VARIOUS TYPES OF AUDITS AND STRATEGY FOR COMPLIANCE

Mode of Programme: Virtual Mode Date: 08th-09th June 2026

| Sl. No | Name (Mr/Mrs/Ms) | Designation | Contact No. (Mobile) | E-mail (PERSONAL) | Address (PERSONAL) | UTR NUMBER |
|--------|------------------|-------------|----------------------|-------------------|--------------------|------------|
| 1 | | | | | | |

Name of Bank/ FI employed with: _____

Address of Bank/ FI employed with: _____

FEE: Rs.5000/- per participant plus GST@18% aggregating to Rs.5900/-. SELF-SPONSORED PARTICIPANTS ARE REQUESTED TO KINDLY CONTACT THE NUMBERS GIVEN BELOW BEFORE MAKING THE PAYMENT.

Programme fees may be remitted to the credit of Institute's Bank Account as below:

- ✓ **Name of Account Holder:** Indian Institute of Banking & Finance
- ✓ **Name of the Bank Branch:** State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ **Savings Account No.** 37067835940 **IFSC:** SBIN0011710
- ✓ **PAN No.** AAATT3309D; **GST No.** 19AAATT3309D2ZO, **State** - West Bengal

CONTACT DETAILS:

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| Mr Tusharendra Barpanda Head – PDC-EZ, IIBF, Kolkata Mob. No.9717005551 Email Id. head-pdcez@iibf.org.in | Ms Sneha Datta Jr. Executive, PDC-EZ, IIBF Email : je.pdcez3@iibf.org.in Mob. No. 9903848920 | Ms. Samriddhi Guha Jr. Executive, PDC-EZ, IIBF Email : je.pdcez2@iibf.org.in Mob. No. . 6290336252 |
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