INDIAN INSTITUTE OF BANKING & FINANCE

(An ISO 21001:2018 Certified Institute)

Professional Development Centre – Western Zone

"Virtual Training Program for Law Officers on Legal Risk, Compliance & Recovery in Banks, NBFCs & FIs"

from 12th to 13th August 2026

Open to Members & Non-Members

Individual participants can also register at their own cost.

Program coordinators: Mr. Kuldeep Jindal & Shijoy Joseph

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BRIEF BACKGROUND

Indian Institute of Banking & Finance (IIBF), a non-profit organization, established on 30^{th} April 1928, formerly known as the Indian Institute of Bankers (IIB) is the apex professional body for India's banking and financial services sector and its employees.

It is managed by a Governing Council comprising representatives from RBI, SBI, IBA, major public and private sector banks, cooperative banks, small finance banks, and academia. ***The governing council is headed by its President - Chairman, State Bank of India (SBI) and Indian Banks' Association (IBA).***

Over its 98 years of service, IIBF has been actively engaged in examinations, training, and academic initiatives, emerging as a premier institute in banking and finance education.

With over 11 lakh members, IIBF is the largest institute of its kind globally and has been instrumental in shaping industry-ready competencies. It is recognised as a centre of excellence, offering flagship qualifications such as JAIIB, CAIIB, diplomas, and specialised certifications aligned with evolving industry needs.

IIBF is also representing India in The Asian-Pacific Association of Banking Institutes (APABI), strengthening its global engagement and collaboration in banking education and professional standards.

Its integrated framework spans education, training, examinations, consultancy, and continuous professional development. Through its Leadership Centre in Mumbai and Professional Development Centres in Mumbai, Delhi, Chennai, Kolkata, Guwahati, Lucknow and Bengaluru, IIBF delivers high-impact learning nationwide, fostering future-ready, competent, and resilient finance professionals.

ABOUT THE PROGRAMME

This comprehensive two-day virtual programme is designed to equip Law Officers of Banks, NBFCs, Co-operative Banks and Financial Institutions with the knowledge and practical skills to manage legal, regulatory and recovery-related challenges in today's dynamic financial environment.

Covering key areas such as regulatory compliance, documentation, security creation, SARFAESI, DRT, IBC, digital lending, DPDP Act, fraud risk and the new criminal laws, the programme combines expert insights, case studies and practical guidance. Participants will strengthen their ability to mitigate legal risks, ensure regulatory compliance, safeguard institutional interests, and support effective governance while protecting both organisational and personal liability.

CONTENT OVERVIEW

- **Session 1: Regulatory & Legal Landscape** – Understanding multi-regulator oversight, key banking laws, RBI Master Directions, wilful defaulter framework, and emerging ESG & climate risk compliance.
- **Session 2: Lender–Borrower Legal Relationship** – Enforceability of credit agreements, Fair Practices Code, consumer protection, borrower disputes, RTI handling, and FEMA compliance for cross-border transactions.
- **Session 3: Documentation & Security Creation** – Legally enforceable documentation, security creation, charge registration, limitation management, charge priority, and corrective measures for documentation gaps.
- **Session 4: Recovery Mechanisms** – Strategic use of SARFAESI, DRT, Arbitration, OTS, notice drafting, borrower objection handling, and selecting the most effective recovery route.
- **Session 5: IBC & Insolvency Strategy** – Insolvency resolution process, creditor rights, claim filing, security interest, charge priority, and practical insights into CIRP and liquidation.
- **Session 6: Legal Governance, MIS & Audit Controls** – Legal function management, advocate empanelment, legal MIS, forensic triggers, audit findings, and board reporting for effective legal governance.
- **Session 7: Digital Lending & Data Protection** – DPDP Act, data fiduciary obligations, customer data protection, digital lending regulations, and legal risk management in fintech partnerships.
- **Session 8: Criminal Law, Fraud & Officer Protection** – New criminal laws, RBI fraud monitoring, AML/CFT compliance, regulatory investigations, and legal safeguards against institutional and personal liability.

METHODOLOGY

- Virtual interactive sessions comprising expert lectures, practical case discussions, judicial interpretations, regulatory insights, presentations, and experience sharing, delivered through the Institute's licensed Learning Management System (LMS).
- Live faculty-led sessions enabling participants to attend seamlessly from their home or office using a laptop/desktop with a stable internet connection.
- Practical illustrations, case laws, regulatory developments, and recovery scenarios covering SARFAESI, IBC, documentation, digital lending, DPDP Act, and legal governance.
- Interactive discussions on recent RBI directions, emerging legal risks, and best practices in compliance, recovery, and officer protection.
- Entry and Exit Tests will be conducted through the LMS to assess participants' learning outcomes.
- Session recordings will be available on the LMS for 3 days after each training day (view-only access).

PARTICIPANT GUIDELINES

- LMS login credentials will be shared with participants on their registered email IDs prior to the commencement of the programme.
- Participants are requested to join using an audio/video-enabled device and keep their camera ON during the sessions. Photographs/screenshots may be taken during the programme for academic and documentation purposes.
- Participants are advised to use the latest versions of Zoom Workplace and Google Chrome.
- If access is restricted on official devices, they may contact their IT Team/IIBF for whitelisting or use a personal device and internet connection.
- A minimum of 75% attendance is mandatory for the issuance of the programme certificate.

TARGET GROUP

This programme is designed for **Law Officers and legal professionals** working in Banks, NBFCs, Co-operative Banks and Financial Institutions, including:

- ⚖️ Law Officers & Legal Managers
- 🏢 Officers handling Legal & Regulatory Compliance
- 📑 Recovery, SARFAESI & DRT Officials
- 📋 Documentation & Security Creation Officers
- 💼 Credit Administration & Loan Documentation Teams
- 📊 Risk Management & Governance Professionals
- 🔍 Internal Audit, Vigilance & Inspection Officials
- 🤝 Officers managing Fintech Partnerships & Digital Lending
- 🛡️ Compliance Officers handling DPDP, AML/CFT & Regulatory Reporting
- 🧑‍💼 Senior Executives responsible for legal governance, recovery strategy and institutional risk management.

DURATION

2 Days – 12th & 13th August 2026

Timings: 10.00 A.M. to 05.15 P.M.

*(On the first day, participants are requested to log in by **09.45 A.M.** for the inaugural session.)*

FEES

₹ 5000/- plus GST @18% (₹ 900/-) aggregating to ₹ 5900/- per participant
(In case of TDS deduction, please send us TDS certificate).
(Discounts are available for institutions sending bulk nominations.)

Programme fees may be remitted to the credit of Institute's account as given below:

Beneficiary Name	:	Indian Institute of Banking and Finance
Name of the Bank Branch	:	State Bank of India, Vidyavihar (West), Mumbai.
SB Account No	:	42895503864
IFSC Code	:	SBIN0011710
PAN No.: AAATT3309D		GSTN No.: 27AAATT3309D1ZS

In case of any queries, kindly contact

(Programme Coordinators)	
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**** Last Date of Registration is 10th August 2026 ****

To register kindly email, the following details

Sr. No.	Name (as required on certificate)	Institution Name	Branch/ Office/Department	Designation	Mobile No. (WhatsApp)	E-mail Id
1	Ms.					
2	Mr.					
Add additional rows as required						

Institution Details		
Name of Bank / FI	:	
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Details of Nominating Authority		
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