



Committed to
professional excellence

INDIAN INSTITUTE OF BANKING & FINANCE

(ISO 21001:2018 Certified)

Two days training programme

(in Virtual Mode)

for Internal Auditors & Concurrent Auditors

From **03.9.2026** to **04.9.2026**

on

“ Overview of Credit & Risk including prevention & investigation of fraud in Internal Audit & Concurrent audit ”

in Commercial Banks, Co-operative Banks, Small Finance Banks(SFBs),
RRBs & FIs”



“Individuals can also register for the programme at their own cost”



The last date for sending Nomination is **01.09.2026**.



Programme Co-Ordinator:

Sri Anand Mohan

Faculty, IIBF, Mumbai

Mail ID: fm.trg3@iibf.org.in

Corporate Office



Indian Institute of Banking & Finance, Kohinoor City, Commercial II,
Tower 1, 3rd floor, Off LBS Marg, Kiroli Road, Kurla (W), Mumbai – 400070.



Website: www.iibf.org.in



BACKGROUND

During its 98 years of service, IIBF which is an "Institute of the Bankers, for the Bankers and by the Bankers" has emerged as a premier institute in banking and finance education. Considering the emerging requirements of the bankers in the ever-changing dynamic environment, IIBF has been providing training to bankers in select areas. IIBF has world class training facilities at its Leadership Center at Mumbai. It also has seven Professional Development Centres (PDCs) at Delhi, Mumbai, Chennai, Kolkata, Guwahati, Lucknow and Bengaluru with residential facilities at Delhi to accommodate more than 50 participants at a time.



PROGRAMME HIGHLIGHTS



This two days training program in virtual mode is a specialised training to equip **INTERNAL AUDITORS & CONCURRENT AUDITORS** with the complete overview of auditing the Credit portfolio as well as all aspects pertaining to assessing, measuring & minimising the Risk therein including early identification of EWS(Early warning signals), of RFAS(Red Flag Accounts) and investigation thereof . This specialised training program aims to bridge the knowledge & skill gap of the newly posted Internal Auditors & Concurrent Auditors.



The programme is blended with pre training reading materials & 2 days virtual classroom training.



OBJECTIVES:

- The course is aimed at equipping Internal Auditors & Concurrent Auditors with the essential knowledge & analytical skill for internal audit of the complete **Credit portfolio** including non-fund based facilities which comprises **Retail, MSME, Agriculture, Credit Monitoring & NPA Management** as well as **Risk Management** including **Fraud Risk Management**.
- Also to equip the Internal Auditors & Concurrent Auditors with latest guidelines on **Fraud Risk Management** by regulatory RBI as well as Govt. of India and CVC.



Programme Co-Ordinator:
Sri Anand Mohan
Faculty, IIBF, Mumbai
Mail ID: fm.trg3@iibf.org.in



Corporate Office
Indian Institute of Banking & Finance,
Kohinoor City, Commercial 1, Tower 1,
3rd floor, Off LBS Marg, Kiroli Road,
Kurla (W), Mumbai – 400070.



Website
www.iibf.org.in



Follow us on:





TARGET GROUP:

Internal Auditors, Concurrent Auditors & Officers working in Audit & Inspection Deptt.s in Commercial Banks, Co-operative Banks, Small Finance Banks, RRBs & Fls.



TRAINING METHODOLOGY

Programme will be conducted in online mode. The sessions will be handled by experienced faculties and experts. After completion of the Training, Participation Certificates will be issued by the Institute to the participants.



Experienced
Faculties
& Experts



Interactive
Sessions



Participation
Certificate



State-of-the-art
Infrastructure



CONTENT OVERVIEW



► **Credit Management Audit:** Audit of Term Loan Appraisal, Monitoring, Audit of Working Capital appraisal & monitoring of Working capital accounts & Audit of Export Credit



Internal Audit/Investigation in Credit related matters - Various Parameters for audit & accountability in new/old accounts at different levels such as Pre-Sanction Stage, Processing of Proposal & Recommendation, Documentation & Disbursement, Monitoring & Follow up etc .



Audit of non-fund-based facilities Forex Business and Treasury Operations including FEMA Audit – Examples



Changing Face of Risk Management in Indian Banking - Risk Definition, Risk process, Risk organisation, Key Risks - Credit Risk, Market Risk and Operational Risk, Basel I, II and III Regulations - Identification and Measurement of Credit Risk, RAROC model, Brief Discussion on Standardized & IRB Approaches with Capital Calculation - Exercises



Audit of R.A.M: Retail Loans, Priority Sector & MSME Loans



Recent RBI guidelines on Fraud Risk Management , EWS & Red Flagging of Account, Investigation of fraud , Reporting of Fraud to RBI & Law Enforcement Agencies,



Reporting in LFAR and other audit report for Advances & Risk Management. Risk categorization of Branches based on Business risk & Control Risk



Internal Audit as a tool to support Credit Monitoring & Risk Management and for Prevention of Frauds, Management of Internal Audit Dept - Internal Control, Governance Monitoring, Pre-requisites & Qualities of a good Auditor and Do's & Don'ts for Auditors





DURATION



2 Days- from
03rd September 2026
to **04th September 2026**



FEE

Rs. **5000/-** per participant plus GST @18%
i.e. **5900/-** per participant



Self-nomination also possible for :- Officers in
Commercial Banks, Co-operative Banks, Small
Finance Banks, RRBs & FIs



The last date for sending Nomination is **01.9.2026**.



(In case of TDS deduction, please send us **TDS** certificate).

Programme fees may be remitted to the credit of Institute's account with State Bank of India, details of which are given below:



Account in the Name of : Indian Institute of Banking & Finance



Name of the Bank branch : State Bank of India, Vidya Vihar Branch



Account no : **36919200263** (Savings A/c)



IFSC code : **SBIN0011710**



PAN No : **AAATT3309D**



GST TIN ID : **27AAATT3309D1ZS** for Maharashtra



For Details & Nominations, please contact:



Mr. Anand Mohan, Faculty
Programme Coordinator
Mobile: **8334836555**
Email: fm.trg3@iibf.org.in



Ms. Shailly Arya
Asst. Director - Training
Mobile: **9650071825**
ad.trg1@iibf.org.in



Adithya Rana
Junior executive training
Mobile: **7592855707**
je.trg1@iibf.org.in



Mr. L.V.R. Prasad,
Director (Training),
Phone : +91-22-68507004,
Mobile : **9650433118**
Email: dir.trg@iibf.org.in



Participation
Certificates
will be issued



Expert Faculty &
Interactive Sessions



Comprehensive
Learning
Experience



Strengthen Audit
& Risk Management
Competencies



Stay Updated with
Latest Guidelines &
Best Practices



NOMINATION FORM FOR BANKS & FIs

Sl. No	Name (Mr./Ms./Mrs.)	Designation	Branch/Office	Mobile No. and Land Line No.	E-mail (PERSONAL AND OFFICIAL MAIL ID BOTH)
1					
2					
3					
4					
5					



Bank/Organisation: _____

Address: _____



Pin Code: _____



Phone: _____



Fax: _____



E-mail: _____



Kindly narrate: "Overview of Credit & Risk for Internal & Concurrent Auditors"; "<No.of> participants"



NOMINATION FORM for SELF-SPONSORED CANDIDATES

Details of nomination:

Sl. No	Name	Designation	Contact No. (Mobile)	E-mail (PERSONAL)	UTR NUMBER
1					



Bank/Organisation: _____

Address: _____



Pin Code: _____



Phone: _____



Fax: _____



E-mail: _____



Kindly narrate: : "Overview of Credit & Risk for Internal & Concurrent Auditors, 3-4 Sep.26"



For Details & Nominations, please contact:



Mr. Anand Mohan, Faculty
Programme Coordinator

Mobile: **8334836555**

Email: fm.trg3@iibf.org.in



Ms. Shailly Arya
Asst. Director – Training

9650071825

ad.trg1@iibf.org.in



Adithya Rana
Junior executive training

Mobile: **7592855707**

je.trg1@iibf.org.in



Mr. L.V.R. Prasad,
Director (Training),
Phone : +91-22-68507004,
Mobile : **9650433118**

Email: dir.trg@iibf.org.in



Participation
Certificates
will be issued



Expert Faculty &
Interactive Sessions



Comprehensive
Learning
Experience



Strengthen Audit
& Risk Management
Competencies



Stay Updated with
Latest Guidelines &
Best Practices