INDIAN INSTITUTE OF BANKING & FINANCE

(ISO 21001:2018 Certified)

PROFESSIONAL DEVELOPMENT CENTRE LUCKNOW

Launches training programme on

"RISK BASED INSPECTION AND INTERNAL AUDIT: FROM COMPLIANCE TO VALUE CREATION"

In Physical Mode

Date: 14th and 15th September 2026

The programme can also be attended in online mode.

Programme Coordinator: Mr. Abhay Kumar, Mr. Udit Negi
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"RISK BASED INSPECTION AND INTERNAL AUDIT: FROM COMPLIANCE TO VALUE CREATION"

BACKGROUND

The Indian Institute of Banking & Finance (IIBF) is a professional body founded in 1928, now in its 97th year of service to the banking fraternity in India, has always strived to pursue its mission of developing professionally competent bankers and financial services professionals. The flagship courses of the Institute, JAIIB and CAIIB and RBI mandated capacity building courses in the specialized domains of Treasury, Credit Management, Risk Management, Foreign Exchange and Accounting & Audit are presently offered to Banking & Finance professionals. Indian Institute of Banking & Finance is an ISO 21001:2018 Certified Institute.

The Institute has also been focusing on developing customised Certifications for banks for upskilling and cross skilling of Bankers, depending on the knowledge and skill-gap identified in consultation with the bank itself. Also Diploma and Certification courses are offered to upgrade the competencies of the Banking and Finance Professionals in specialized fields like Credit Management, MSME, Compliance, KYC/AML, Digital Banking, Cyber Security, Advance Wealth Management, Risk Management, NPA Management etc.

IIBF also has state-of-the-art training facilities at its Leadership Centre at Mumbai. It also has seven Professional Development Centres (PDCs) at Chennai, Delhi, Kolkata, Mumbai, Guwahati, Lucknow and Bangalore conducting training sessions in virtual mode and physical training classes, covering all topics related to Banking & Finance.

PROGRAMME OVERVIEW AND PURPOSE

The banking and financial services sector is operating in an increasingly dynamic environment marked by evolving risks, changing regulations, technological advancements, and growing customer expectations. In such a scenario, Internal Audit has become a strategic function that not only verifies compliance but also evaluates the effectiveness of risk management, internal controls, and governance processes.

Risk-Based Internal Audit (RBIA) is a modern audit approach that focuses audit efforts on areas with the highest level of risk. It enables institutions to identify weaknesses, strengthen internal controls, improve operational efficiency, and ensure compliance with regulatory requirements. The Reserve Bank of India has also mandated the implementation of the RBIA framework for Scheduled Commercial Banks and extended its applicability to specified NBFCs and Urban Co-operative Banks, highlighting its growing importance in the financial sector.

This programme is designed to provide participants with a comprehensive understanding of the RBIA framework, its methodology, regulatory expectations, and practical implementation. It will equip participants with the knowledge and skills required to plan, conduct, and report risk-based internal audits effectively.

OBJECTIVES

After completing this programme, participants will be able to:

- Understand the relevance of Risk-Based Internal Audit.
- Learn the regulatory guidelines and RBI expectations relating to RBIA.
- Understand the relationship between risk management, internal controls, governance, and internal audit.
- Identify and assess various risks faced by banks and financial institutions.
- Conduct internal audits using a structured risk-based approach.
- Strengthen internal control systems and support better governance within the organisation.
- Understand RBIA is not for finding faults in Branch functioning but getting the irregularities regularized for safety of all stakeholders.

CONTENT OVERVIEW

- Compliance Functions including Lines of defense
- Evolution of Internal Audit and the Need for RBIA
- Regulatory Environment in Banking
- Internal Audit Systems in Banks- RBIA
- Important Regulatory Compliance areas in Banks
- Audit Documentation and Reporting

- Digital Banking & Technology Risks, IT Act, DPDP Act
- Fraud Risk Management and Forensic Auditing
- Regulatory Inspection & Audit Readiness
- Closure of RBIA reports
- Guidelines on Customer Service and Protection
- International Best Practices for Compliance.

METHODOLOGY
Lecture sessions, real case studies, classroom exercise, discussions & sharing of experiences by industry experts/ practicing professionals.

TARGET GROUP
Branch Managers, Credit Officers, Internal Auditors, Officers working in ZO/RO, Credit Departments.

DURATION
2 Days: 14th to 15th September 2026
Timings: 9:30 AM to 5:30 PM

FEES
Rs. 7000/- per participant plus GST @ 18% aggregating to **Rs. 8260/- (Rs. Eight Thousand Two Hundred Sixty Only)** (In case of TDS deduction, please send us TDS certificate).

Accommodation (if required) will be provided to participants at Rs. 2500/- + GST per person per day on twin sharing basis.

Programme fees may be remitted to the credit of Institute's account as given below:

- **Bank, Branch**: State Bank of India, Vidya Vihar (West), Mumbai
- Account No: 37067835430 IFSC code: SBIN0011710
- (PAN No: AAATT3309D and GST No. 09AAATT3309D1ZQ)

(Kindly provide your GST Number in the nomination letter to facilitate raising of invoice)

For more details Kindly contact Programme Co-ordinators:

1- **Mr. Abhay Kumar: Email ID- head-pdclko@iibf.org.in; Contact No- 9340666010**
2- **Udit Negi: Email ID- se.pdclko1@iibf.org.in, Contact No: 8077847373**

Participants will be provided with a Participation Certificate upon successful completion of the training programme.

ANNEXURE I

INDIAN INSTITUTE OF BANKING & FINANCE

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NOMINATION FORM FOR ORGANIZATION NOMINATING THE PARTICIPANTS

Programme title: "RISK BASED INSPECTION AND INTERNAL AUDIT: FROM COMPLIANCE TO VALUE CREATION"

Date: 14th – 15th September 2026 **Programme type: Physical Mode**

Details of nominee(s):

Sr.	Name	Designation	Contact Whatsapp	Email

Name of Bank/FI:____
Address:____
GST Details of nominating Bank/FI:____________________________
Name, Designation of nominating official: __________, ______Email and Phone__________

FEES

Rs. 7000/- per participant plus GST @ 18% aggregating to **Rs. 8260/- (Rs. Eight Thousand Two Hundred Sixty Only)** (In case of TDS deduction, please send us TDS certificate).

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ANNEXURE II

INDIAN INSTITUTE OF BANKING & FINANCE

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NOMINATION FORM FOR SELF SPONSORED CANDIDATES

Programme title: "RISK BASED INSPECTION AND INTERNAL AUDIT: FROM COMPLIANCE TO VALUE CREATION"

Date: 14th – 15th September 2026 **Programme type: Physical Mode**

Details of nomination:

Sr.	Name	Designation	Contact Whatsapp	Email

Name of Bank/FI employed with:____
Address of Bank/FI:____
UTR No. with date of payment:____

FEES
Rs. 7000/- per participant plus GST @ 18% aggregating to **Rs. 8260/- (Rs. Eight Thousand Two Hundred Sixty Only)** (In case of TDS deduction, please send us TDS certificate).

Accommodation (if required) will be provided to participants at Rs. 2500/- + GST per person per day on twin sharing basis.

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