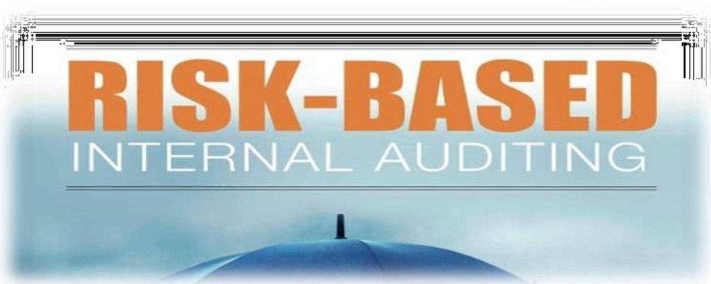
Committed to professional excellence

INDIAN INSTITUTE OF BANKING & FINANCE
Professional Development Centre – Guwahati

RISK BASED INTERNAL AUDIT - RBIA

01st to 02nd September 2026



IIBF has emerged as a premier institute on banking and finance education. It is the largest institute of its kind in the world and is working with a Mission to "develop professionally qualified & competent bankers and finance professionals primarily through a process of education, training, examination, consultancy/ counselling and continuing professional development programmes". Indian Institute of Banking & Finance has been awarded the ISO 21001:2018 Standard. This standard is for Educational Institutes who have achieved the required level of excellence in their academic offerings. IIBF is among the few institutes to have been conferred upon this standard.

For Nomination, Please Contact:

Mr. Rashmi Ranjan Rath **Zonal Head, PDC-GW, IIBF Email : head-pdcgau@iibf.org.in**	**Mr. Nayandeep Chatterjee** **Jr. Executive, PDC-GW, IIBF Email: je.pdcgau1@iibf.org.in**

BACKGROUND OF THE PROGRAMME: An independent and effective internal audit function in a financial entity provides vital assurance to the Board and its senior management regarding the quality and effectiveness of the entity's internal control, risk management and governance framework. The essential requirements for a robust internal audit function include, inter alia, sufficient authority, proper stature, independence, adequate resources and professional competence. The range and commonality of risks faced by Supervised Entities (SEs) would warrant effective and harmonized systems and processes for the internal audit function across the SEs based on certain common guiding principles. The introduction of Risk-Based Internal Audit (RBIA) system was mandated for all Scheduled Commercial Banks (except Regional Rural Banks) which has now been decided to mandate RBIA framework for the following Non-Banking Financial Companies (NBFCs) and Primary (Urban) Co-operative Banks (UCBs):All deposit taking NBFCs, irrespective of their size; All Non-deposit taking NBFCs (including Core Investment Companies) with asset size of ₹5,000 crore and above; and All UCBs having asset size of ₹500 crore and above.

OBJECTIVES:

- ✓ To Improve the knowledge and skill of the Audit Officers
- ✓ To have comprehensive understanding of the audit system, procedures and practices to protect the interest of the bank.
- ✓ To support in developing a cadre of professional internal auditor, they being the officials giving warning signal in advance and identifying the risks.

CONTENT OVERVIEW:

- Relevance of Audit – Audit History, Traditional vs Risk based audit - Audit policy.
- Risk Based Internal Audit, Risk Based Credit Audit and Risk based supervisions - with features & application
- Auditing the branches under the CBS environment. Auditing different department.
- Risk and compliance covering Operational Risk Management (ORM) and Credit Risk Management (CRM)
- Practical insights into different issues – capturing the signals, supporting the monitoring and risk management.
- Auditing fund based & non-fund-based credit facilities and forex business
- Role of Auditor in KYC/AML compliance.
- Audit as a tool for prevention of frauds.
- IT Governance and GRC- Audit automation.

TARGET GROUP FOR THE PROGRAMME: Officials working in the Internal Audit/ Inspection Department at Central/Circle/Zonal/Regional level audit offices and Concurrent Auditors working in branches. Applicable for PSU Banks/ Private Banks/Cooperative Banks/SFBs/RRBs/Foreign Banks/NBFCs. Open to both Members & Non-Members of IIBF/Individuals may also register for this programme at their own cost/organizations may send their nominations in bulk.

DATE & TIME:	METHODOLOGY:	FEE:
01st to 02nd September 2026 (10.00AM to 5.30PM)	Virtual (Online) interactive lectures, Case Studies, presentations and sharing of experiences through IIBF's Zoom-based Learning Management System (LMS). Programme can be attended from anywhere by using devices with Internet like PC, Laptop, Tablet or Mobile. Internet connection with good speed is required to stream live Virtual sessions.	Rs.5000/- per participant plus GST@18% /- aggregating to Rs.5900/-

• **REGISTRATION START DATE: 14.08.26** • **REGISTRATION END DATE: 30.08.26**	• **TRAINING START DATE: 01.09.26** • **TRAINING END DATE: 02.09.26**

Programme fees may be remitted to the credit of Institute's account as given below:

Beneficiary Name	:	Indian Institute of Banking and Finance
Name of the Bank Branch	:	State Bank of India, Pan bazar, Kamarpatty, Baptist Church Complex, Guwahati, Assam 781001
SB Account No	:	44310223983
IFSC Code	:	SBIN0010756
PAN No.: AAATT3309D		GSTN No.: 18AAATT3309D1ZR

(Kindly provide your GST Number in the nomination letter to facilitate raising of invoice)

For further details and nomination, kindly contact:

Mr. Rashmi Ranjan Rath	Mr. Nayandeep Chatterjee
Head PDC	**Junior Executive**
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Mob-7583034686	**Mob : +91 7003787006**

**** Last Date of Registration is 30th August 2026 ****

To Register kindly email, the following details.

Sr. No.	Name (as required on certificate)	Institution Name	Branch/ Office/Department	Designation	Mobile No. (WhatsApp)	E-mail Id
1	Ms.					
2	Mr.					

Institution Details		
Name of Bank / FI	:	
Address (where certificate is to be sent)	:	
GST No.	:	

PAN No.	:	
Details of Nominating Authority		
Name	:	
Designation	:	
Mobile No.	:	
E-Mail Id	:	