INDIAN INSTITUTE OF BANKING & FINANCE

(An ISO 21001:2018 Certified Institute)

Professional Development Centre – Western Zone

Residential Training Program for Banks, NBFCs & FIs on

Compliance 360°

Navigating Risk, Regulation, Governance & Emerging Challenges

at Bank of India's, APEX-Centre of Excellence"

from 07th to 09th September 2026 at *GOA*

Open to Members & Non-Members

Individual participants can also register at their own cost.

Program Co-ordinators: Mr. Kuldeep Jindal & Mr. Shijoy Joseph

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Website: www.iibf.org.in

About Indian Institute of Banking & Finance

Indian Institute of Banking & Finance (IIBF), a non-profit organization, established on 30th April 1928, formerly known as the Indian Institute of Bankers (IIB) is the apex professional body for India's banking and financial services sector and its employees.

It is managed by a Governing Council comprising representatives from RBI, SBI, IBA, major public and private sector banks, cooperative banks, small finance banks, and academia. ***The governing council is headed by its President - Chairman, State Bank of India (SBI) and Indian Banks' Association (IBA).***

Over its 98 years of service, IIBF has been actively engaged in examinations, training, and academic initiatives, emerging as a premier institute in banking and finance education.

With over 11 lakh members, IIBF is the largest institute of its kind globally and has been instrumental in shaping industry-ready competencies. It is recognised as a centre of excellence, offering flagship qualifications such as JAIIB, CAIIB, diplomas, and specialised certifications aligned with evolving industry needs.

IIBF is also representing India in The Asian-Pacific Association of Banking Institutes (APABI), strengthening its global engagement and collaboration in banking education and professional standards.

Its integrated framework spans education, training, examinations, consultancy, and continuous professional development. Through its Leadership Centre in Mumbai and Professional Development Centres in Mumbai, Delhi, Chennai, Kolkata, Guwahati, Lucknow and Bengaluru, IIBF delivers high-impact learning nationwide, fostering future-ready, competent, and resilient finance professionals.

About Bank of India APEX — A Centre of Excellence for Audit & Compliance

Established in 1906, Bank of India is one of India's leading public sector banks, with over 5,300 branches and a strong domestic and global presence. The Bank has developed a robust learning ecosystem through its specialized Centres of Excellence (BOI APEX) to build future-ready banking professionals.

Located in Panaji, Goa, **BOI APEX – Centre of Excellence for Audit & Compliance** is dedicated to strengthening capabilities in audit, compliance, governance, and regulatory adherence. Through expert-led programmes, case studies, industry interactions, and inter-bank collaboration, the Centre promotes professional excellence and a strong compliance culture across the banking sector.

This cross-bank programme brings together professionals from different Banks, NBFCs & Financial Institutions to exchange knowledge and share experiences

ABOUT THE PROGRAMME

Compliance 360°: Navigating Risk, Regulation, Governance & Emerging Challenges is a three-day residential training programme designed for professionals from **Banks, NBFCs and Financial Institutions (FIs)**. The programme provides a comprehensive and practical understanding of the evolving compliance landscape and its interlinkages with risk management, governance and business operations.

The programme covers **compliance architecture and culture, operational risk and fraud risk management, KYC & AML compliance, statutory and regulatory requirements for lending, risk-based internal audit and supervision, FEMA and trade-related compliances, customer service and grievance redressal, ALM and Basel III, and the role of regulators**. It also addresses emerging areas such as **ESG and climate risk, cyber and technology risks, digital banking, alternate delivery channels and compliance requirements relating to financial and investment products**.

Through **expert-led sessions, practical insights and relevant banking cases**, the programme aims to help participants strengthen their understanding of regulatory expectations, identify compliance and emerging risks, and contribute towards building a **stronger compliance culture and resilient financial institutions**.

Set amidst the serene environment of Goa, the programme also offers a **unique opportunity for professional networking, knowledge sharing, and collaborative learning** with peers from **banks, NBFCs, and financial institutions across the country.**

OBJECTIVES

- **Strengthen participants' understanding of regulatory, compliance and governance frameworks** applicable to Banks, NBFCs and Financial Institutions.
- **Develop practical capabilities in identifying and managing compliance risks**, including KYC/AML, operational, fraud, cyber and technology-related risks.
- **Enhance awareness of regulatory expectations, supervision and risk controls** to support effective compliance practices.
- **Promote a proactive compliance culture and organisational resilience** in addressing evolving regulatory and emerging challenges.

CONTENT OVERVIEW

- **Compliance, Governance & Risk Management** – Compliance architecture, role of CCO, ethical leadership, corporate governance, operational and fraud risk, lending regulations, RBIA, RBS and regulatory supervision.
- **KYC, AML & Financial Crime Compliance** – Customer due diligence, risk-based approach, beneficial ownership, transaction monitoring, red flags, AML alerts and STRs.
- **FEMA, Customer Protection & Financial Regulations** – Export-import and NRI compliance, customer service, grievance redressal, Ombudsman framework, financial products, ALM and Basel III.
- **Regulatory Framework, Emerging Risks & Digital Compliance** – Role of regulators, regulatory reporting, ESG and climate risk, cyber and technology risks, digital banking, alternate delivery channels, IT security and GRC.

METHODOLOGY

- Expert-led interactive classroom sessions covering key compliance, regulatory and risk areas.
- Case studies and practical scenarios to facilitate application of compliance concepts and problem-solving.
- Group discussions, experience sharing and practical discussions on KYC/AML, risk management and regulatory compliance.
- Interactive Q&A sessions along with sharing of industry best practices, regulatory insights and actionable takeaways.

TARGET GROUP

- Compliance & Regulatory Professionals
- Risk Management & Internal Audit Professionals
- KYC/AML, Fraud & Vigilance Officers
- Branch & Operations Heads
- Credit & Regulatory Reporting Teams
- Legal, Governance & Inspection Professionals
- Digital Banking, IT, Cybersecurity & Treasury Professionals
- Senior & Middle Management from Banks, NBFCs & FIs

DURATION

07th & 08th September from 09.30 AM to 05.00 PM	**09th September from 09.30 AM to 02.30 PM (Checkout on 10th by 11 AM is permitted)**

LEARNING BEYOND THE CLASSROOM

To make the programme a holistic learning and networking experience, participants will also have the opportunity to engage in informal interactions and networking activities beyond classroom sessions.

🌴 **07th September 2026 (Evening)**

- Welcome & networking dinner.
- Informal interaction among participants, faculty and organisers.

🚢 **08th September 2026 (Evening)**

- Exclusive Mandovi River Cruise experience.
- Cultural performances and music.

🌅 **09th September 2026 (Evening)**

- Guided local sightseeing of Panaji and nearby attractions.
- Exploring the cultural and heritage highlights of Goa.

These activities are designed to complement the learning experience and promote peer learning, collaboration, and professional networking. Participants will enjoy an enriching blend of learning, networking, local sightseeing, and a memorable Mandovi River Cruise experience during the programme, making it both professionally rewarding and personally refreshing.

TRAINING & STAY VENUE

Bank of India, APEX Centre of Excellence
Star House Building, 4th Floor, Patto Plaza, Behind KTC Bus Stand Panaji, Goa - 403001

Participants will be accommodated at the training centre on a comfortable twin-sharing basis resulting into meaningful interactions, and enhanced networking opportunities.

Check In from: **03:00 PM on 06th September 2026**
Check Out by: **11:00 AM on 10th September 2026**

For Local Co-ordination at GOA, Kindly Contact the following Bank of India Officials	
Mr. Syed Shabih Ahmad	Mr. Manish Kumar Verma
Principal	Vice-Principal
Mobile: 9839109037	Mobile: 9420271927

FEES

₹ 27,000/- plus GST @18% (₹ 4860/-) aggregating to ₹ 31,860/- per participant
(In case of TDS deduction, please send us TDS certificate).

Programme fees may be remitted to the credit of Institute's account as given below:

Beneficiary Name	:	Indian Institute of Banking and Finance
Name of the Bank Branch	:	State Bank of India, Vidyavihar (West), Mumbai.
SB Account No	:	42895503864
IFSC Code	:	SBIN0011710
PAN No.: AAATT3309D		GSTN No.: 27AAATT3309D1ZS

In case of any quires, kindly contact

(Program Co-ordinators)	
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Zonal Head	Junior Executive
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Mob: +91 9867625389	Mob: +91 9820127826

**** Last Date of Registration is 02nd Sep 2026 ****

To register kindly email, the following details

Sr. No.	Name (as required on certificate)	Institution Name	Branch/ Office/ Department	Designation	Mobile No. (WhatsApp)	E-mail Id	Food Preference (Jain / Veg / Non-Veg)	Gender
1	Ms.							
2	Mr.							
Add additional rows as required								

Institution Details	
Name of Bank / FI	:
GST No./ ISD No. (For Invoice)	:
PAN No.	:
Details of Nominating Authority	
Name	:
Designation	:
Mobile No.	:
E-Mail Id	: